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**GUIDANCE AND CONTROL STRATEGIES  
FOR AEROSPACE VEHICLES**

By

Desineni S. Naidu, Research Associate

and

Joseph L. Hibey, Principal Investigator

Progress Report  
For the period January 1, 1988 to June 30, 1988

Prepared for the  
National Aeronautics and Space Administration  
Langley Research Center  
Hampton, Virginia

Under  
**Research Grant NAG-1-736**  
Dr. Douglas B. Price, Technical Monitor  
GCD-Spacecraft Control Branch

(NASA-CR-183032) GUIDANCE AND CONTROL  
STRATEGIES FOR AEROSPACE VEHICLES Progress  
Report, 1 Jan. - 30 Jun. 1988 (Old Dominion  
Univ.) 61 P CSCI 01C

N88-24644

Unclas  
G3/08 - 0148168

July 1988



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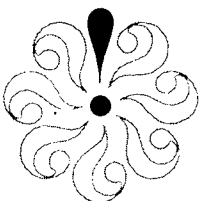
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Submitted by the  
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P. O. Box 6369  
Norfolk, VA 23505



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Desineni S. Naidu<sup>1</sup> and Joseph L. Hibey<sup>2</sup>

**SUMMARY**

Enclosed is the List of of Publications/Reports and two reports on the above titled project for the period January 1, 1988 to June 30, 1988.

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### List of Publications/Reports

(i) D. S. Naidu and D. B. Price, "On the method of matched asymptotic expansions", accepted for publication in Journal of Guidance, Control and Dynamics, 1988 (in press).

(ii) D. S. Naidu, "There-dimensional atmospheric entry problem using method of matched asymptotic expansions", 1988 American Control Conference, Atlanta, GA, June 14-17, 1988.

(iii) D. S. Naidu and D. B. Price, "On singular perturbation and time scale approaches in discrete control systems", accepted for publication in Journal of Guidance, Control and Dynamics, 1988 (in press).

(iv) D. S. Naidu and D. B. Price, "Singular perturbations and time scales in digital flight control systems", NASA Technical Paper, Spacecraft Control Branch, Langley Research Center, Hampton (in preparation).

\*(v) D. S. Naidu, "Fuel-optimal trajectories for aeroassisted, coplanar, orbital transfer problem", Report, ODU Research Foundation, Norfolk, VA, July, 1988.

\*(vi) D. S. Naidu, "Fuel-optimal trajectories for aeroassisted, noncoplanar, orbital transfer problem", Report, ODU Research Foundation, Norfolk, VA, July, 1988.

(vii) D. S. Naidu, J. L. Hibey, and C. Charalambous, "Optimal control of aeroassisted, coplanar, orbital transfer vehicles", Accepted for presentation at 26 IEEE Conference on Decision and Control, Austin, Texas, December 7-9, 1988 [Short version of (vi)].

\* copies enclosed

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**FUEL-OPTIMAL TRAJECTORIES FOR AEROASSISTED  
COPLANAR ORBITAL TRANSFER PROBLEM**

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**ABSTRACT:** The optimal control problem arising in coplanar, orbital transfer employing aeroassist technology is addressed. The maneuver involves the transfer from high Earth orbit to low Earth orbit. A performance index is chosen to minimize the fuel consumption for the transfer. Simulations are carried out for establishing a corridor of entry conditions which are suitable for flying the spacecraft through the atmosphere. A highlight of the paper is the application of an efficient multiple shooting method for taming the notorious non-linear, two-point, boundary value problem resulting from optimization procedure.

#### NOMENCLATURE

$A$  : constant =  $S \rho_s / 2m$   
 $A_1$  : constant =  $C_{d0} S \rho_s H_a / 2m$   
 $A_2$  : constant =  $C_{LR} S \rho_s H_a / 2m$   
 $a_d$  : ratio of  $R_d / R_a$   
 $a_c$  : ratio of  $R_c / R_a$   
 $b$  : constant =  $R_a / H_a$   
 $C_D$  : drag coefficient  
 $C_{D0}$  : zero-lift drag coefficient  
 $C_L$  : lift coefficient  
 $C_{LR}$  : lift coefficient for maximum lift-to-drag ratio  
 $c$  : ratio of  $C_L / C_{LR}$   
 $c$  : subscript for circularization or reorbit  
 $D$  : drag force  
 $d$  : subscript for deorbit  
 $E_m$  : maximum of  $(L/D)$   
 $g$  : gravitational acceleration  
 $g_s$  : gravitational acceleration at surface level  
 $H$  : altitude  
 $\mathcal{H}$  : Hamiltonian  
 $h$  : subscript for Hohmann transfer  
 $i$  : subscript for idealized transfer  
 $J$  : performance index  
 $K$  : induced drag factor  
 $L$  : lift force  
 $m$  : vehicle mass  
 $R$  : distance from vehicle center of gravity to Earth center  
 $R_a$  : radius of the atmospheric boundary

$R_c$  : radius of the low Earth orbit  
 $R_d$  : radius of the high Earth orbit  
 $R_E$  : radius of Earth  
 $R_s$  : distance from vehicle center of gravity to surface level  
 $S$  : aerodynamic reference area  
 $s$  : subscript for surface level  
 $t$  : time  
 $V$  : velocity  
 $v$  : normalized velocity  
 $\beta$  : inverse atmospheric scale height  
 $\gamma$  : flight path angle  
 $\delta$  : normalized density  
 $\lambda$  : costate (Lagrange) variable  
 $\mu$  : gravitational constant of Earth  
 $\rho$  : density  
 $\tau$  : normalized time  
 $\Delta V$  : characteristic velocity  
 $\Delta v$  : normalized characteristic velocity

## 1. INTRODUCTION

In space transportation systems, the concept of aeroassisted orbital transfer opens new mission opportunities, especially with regard to the initiation of a permanent space station [1]. The use of aeroassisted maneuvers to affect a transfer from high Earth orbit (HEO) to low Earth orbit (LEO) has been recommended to provide high performance leverage to future space transportation systems. The space-based orbit transfer vehicle (OTV) is planned as a system for transporting payloads between LEO and other locations in space. The OTV, on its return journey from HEO, dissipates orbital energy through atmospheric drag to slow down to LEO velocity. In a synergetic maneuver for aeroassisted orbital transfer vehicles (AOTV's), the basic idea is to employ a hybrid combination of propulsive maneuvers in space and aerodynamic maneuvers in sensible atmosphere [2-4].

In this report, we first describe briefly the various types of coplanar transfers [5]. Then we address the fuel-optimal control problem arising in coplanar orbital transfer employing aeroassist technology. The maneuver involves a transfer from HEO to LEO and at the same time minimization of the fuel consumption for achieving the desired orbit transfer. It is known that a change in velocity, also called the characteristic velocity, is a convenient parameter to measure the fuel consumption. A suitable performance index is the total characteristic velocity which is the sum of the characteristic velocities for deorbit and for reorbit (or circularization) [6]. Use of Pontryagin minimum principle leads to a nonlinear, two-point, boundary value problem (TPBVP) in state and costate variables. This problem is solved by

using an efficient multiple shooting method [7,8]. Simulations are carried out for establishing a spectrum of entry conditions which are suitable for flying the spacecraft through the atmosphere.

## 2. TYPES OF COPLANAR ORBITAL TRANSFERS

In transferring a vehicle from one orbit, say High Earth Orbit to another orbit, say Low Earth Orbit, in the same plane, we consider the following three types of orbital transfer.

- (i) Hohmann transfer
- (ii) Idealized aeroassisted transfer
- (iii) Realistic aeroassisted transfer

### (i) Hohmann Transfer

This is a coplanar transfer in which the vehicle is transferred from HEO to LEO in an all-proplusive manner (Figure 1). The first tangential impulse  $\Delta V_d$ , called the deorbit impulse, at the radius  $R_d$  of HEO, is executed to transfer the vehicle from circular orbit to elliptic orbit with its perigee at  $R_c$ . The second tangential impulse  $\Delta V_c$ , called the circularization or reorbit impulse, at the radius  $R_c$  of LEO, is imparted to transfer the elliptic orbit to circular orbit. Thus, the total characteristic velocity  $\Delta V_h$ , a measure of fuel consumption in achieving the Hohmann transfer, is given by the sum of the deorbit impulse  $\Delta V_d$ , and the circularization impulse  $\Delta V_c$ .

Using the principle of conservation of angular momentum, the expressions for the deorbit and circularization impulses are given by [5]

$$\Delta V_{dh} = \sqrt{\mu/R_d} \left[ 1 - \sqrt{2/(1+R_d/R_c)} \right] \quad (1)$$

$$\Delta V_{ch} = \sqrt{\mu/R_c} \left[ \sqrt{2(R_d/R_c)/(1+R_d/R_c)} - 1 \right] \quad (2)$$

Using the normalized values,

$$a_d = R_d/R_a; \quad a_c = R_c/R_a; \quad \Delta v = \Delta V/\sqrt{\mu/R_a} \quad (3)$$

in (1) and (2), we get

$$\Delta v_{dh} = \sqrt{1/a_d} - \sqrt{2a_c/a_d(a_d+a_c)} \quad (4)$$

$$\Delta v_{ch} = \sqrt{2a_d/a_c(a_d+a_c)} - \sqrt{1/a_c} \quad (5)$$

so that the total characteristic velocity in the normalized form is given by



$$\Delta v_h = \Delta v_{dh} + \Delta v_{ch} \quad (6)$$

### (ii) Ideal Aeroassisted Transfer

In an idealized aeroassisted coplanar transfer, the vehicle grazes the atmospheric boundary, undergoes the necessary velocity reduction and skips back into another orbit (Figure 2). Thus, the vehicle leaves HEO at  $R_d$  with a tangential deorbit impulse  $\Delta v_{d1}$  and enters into an elliptic orbit with its perigee at  $R_a$  and flight path angle  $\gamma_e = 0$ . When the vehicle is at perigee E, its lifting capability (in this case negative lift) is employed to affect flight path along the boundary of the atmosphere (i.e., along the circular orbit of radius  $R_a$ ). This grazing flight is continued along the atmospheric boundary to point F until sufficient velocity has been depleted by atmospheric drag such that upon reducing the lift to zero, the vehicle ascends with  $\gamma_f = 0$  on an elliptic orbit to an apogee at  $R_c$ . Finally, at point C, a tangential circularizing burn  $\Delta v_{c1}$  is imparted to achieve the desired LEO. In this transfer, the idealizations are (i) the atmospheric density at  $R_a$  is sufficient to generate enough drag to slow the vehicle in a reasonable amount of time, and (ii) the vehicle has sufficient lifting capability to maintain flight along the atmospheric boundary.

The following relations for the characteristic velocities are obtained by using the principles of conservation of angular momentum and the conservation of energy. The deorbit impulse  $\Delta v_{d1}$  given by

$$\Delta v_{d1} = \sqrt{\mu/R_d} - \sqrt{2(\mu/R_a)/(R_d/R_a)(R_d/R_a + 1)} \quad (7)$$

and the circularization or reorbit impulse  $\Delta v_{c1}$  turns out to be

$$\Delta v_{c1} = \sqrt{\mu/R_c} - \sqrt{2(\mu/R_a)/(R_c/R_a)(R_c/R_a + 1)} \quad (8)$$

In terms of the normalized values (3),

$$\Delta v_{d1} = \sqrt{1/a_d} - \sqrt{2/a_d(a_d + 1)} \quad (9)$$

$$\Delta v_{c1} = \sqrt{1/a_c} - \sqrt{2/a_c(a_c + 1)} \quad (10)$$

and the total characteristic velocity for the idealized transfer in the normalized form is

$$\Delta v_1 = \Delta v_{d1} + \Delta v_{c1} \quad (11)$$

### (iii) Realistic Aeroassisted Transfer

In a realistic, aeroassisted, coplanar transfer, the vehicle is transferred from HEO at  $R_d$  to LEO at  $R_c$ , by flying deep into the atmosphere to achieve the necessary velocity reduction (Figure 3). We start with a tangential propulsive burn, having a characteristic velocity  $\Delta V_d$  for deorbiting from the high Earth orbit (HEO) and entering into an elliptical transfer orbit. At point E the spacecraft enters the sensible atmosphere with an inclination of  $\gamma_e$  and undergoes reduction in velocity due to atmospheric drag. At point F, the spacecraft leaves the atmosphere with flight path angle  $\gamma_f$ . Once again, the transfer orbit is elliptical with the corresponding apogee at  $R_c$ . Finally, the maneuver ends with a circularize or reorbit burn having a characteristic velocity  $\Delta V_c$  to make the vehicle enter into the low Earth orbit. The desired circularization into LEO is achieved by the appropriate magnitude of  $\Delta V_c$ . Thus, the maneuver consists of two impulses  $\Delta V_d$  for deorbit, and  $\Delta V_c$  for circularization. Upon applying the principles of conservation of angular momentum and the conservation of energy, we obtain the relations for the deorbit and the circularization impulses as

$$\Delta V_d = \sqrt{\mu/R_d} \left[ 1 - \sqrt{2(1-R_d/R_a) / [1 - (R_d/R_a)^2 / \cos^2 \gamma_e]} \right] \quad (12)$$

$$\Delta V_c = \sqrt{\mu/R_c} \left[ 1 - \sqrt{2(1-R_c/R_a) / [1 - (R_c/R_a)^2 / \cos^2 \gamma_f]} \right] \quad (13)$$

In terms of the normalized values (3),

$$\Delta v_d = \sqrt{1/a_d} - \sqrt{2(1-a_d)/a_d (1-a_d^2/\cos^2 \gamma_e)} \quad (14)$$

$$\Delta v_c = \sqrt{1/a_c} - \sqrt{2(1-a_c)/a_c (1-a_c^2/\cos^2 \gamma_f)} \quad (15)$$

Finally, the total characteristic velocity for the realistic aeroassisted transfer in the normalized form becomes

$$\Delta v = \Delta v_d + \Delta v_c \quad (16)$$

Let us note that if  $\gamma_e = \gamma_f = 0$ , the relations (12) to (16) for the various characteristic velocities for the realistic transfer are the same as those relations (7) to (11) for the corresponding characteristic velocities for the idealized transfer. Thus, the total characteristic velocity  $\Delta v$  for the

realistic transfer is atleast equal to that of  $\Delta v_1$ . In otherwords, the idealized transfer is the lower bound of the realistic transfer.

The entry velocity  $v_e$ , and the exit velocity  $v_f$  are also obtained in the normalized form as

$$v_e = \sqrt{2a_d(1-a_d)/(\cos^2\gamma_e - a_d^2)} \quad (17)$$

$$v_f = \sqrt{2a_c(1-a_c)/(\cos^2\gamma_f - a_c^2)} \quad (18)$$

#### (iv) Comparison of Orbital Transfers

We now try to compare the three types of transfers, i.e., Hohmann transfer, ideal aeroassisted transfer, and realistic aeroassisted transfer. For this, the following data is used. Radius of Earth,  $R_E = 6,378$  KM; radius of atmosphere,  $R_a = 6,498$  KM; radius of the HEO,  $R_d = 42,241$  KM; radius of the LEO,  $R_c = 6,728$  KM; gravitational constant of Earth,  $\mu = 3.986 \times 10^{14}$  meter<sup>3</sup>/sec<sup>2</sup>. Using this data, the deorbit and circularization velocities and the total characteristic velocities for each of the types of transfers is shown in Figure 4. The variation of entry velocity  $V_e$  and the deorbit characteristic velocity  $\Delta V_d$  with respect to entry flight path angle  $\gamma_e$  are depicted in Figure 5. Also, the variation of exit velocity  $V_f$  and the reorbit characteristic velocity  $\Delta V_f$  are shown in Figure 6. The effect of altitude of LEO  $H_c$ , on the reorbit characteristic velocity  $\Delta V_c$  is shown in Figure 7. As expected, the minimum  $\Delta V_c$  at  $\gamma_c$  increases with the altitude of LEO. The scenario at the entry and exit corridors shown in Figures 5 and 6 indicate that we need to fly the vehicle at near zero exit flight path angle for minimum fuel consumption.

### 3. EQUATIONS OF MOTION

Consider a vehicle with constant point mass, moving about a nonrotating spherical planet. The atmosphere surrounding the planet is assumed to be at rest, and the central gravitational field obeys the usual inverse square law. The equations of motion are given by,

$$\frac{dH}{dt} = V \sin \gamma \quad (19a)$$

$$\frac{dV}{dt} = -AC_d V^2 \exp(-H\beta) - (\mu/R^2) \sin \gamma \quad (19b)$$

$$\frac{d\gamma}{dt} = AC_L V \exp(-H\beta) + [V/R - \mu/(R^2 V)] \cos \gamma \quad (19c)$$

where  $A = S\rho_s/2m$ ,  $H = R/R_s$ ,  $\rho = \rho_s \exp(-H\beta)$  and

$$C_D = C_{D0} + KC_L^2 \text{ for a drag polar.}$$

Using the normalized variables,

$$\tau = t\sqrt{R_a^3/\mu}; \quad v = V/\sqrt{\mu/R_a} \quad (20)$$

and the dimensionless constants,

$$h = H/H_a; \quad b = R_a/H_a; \quad \delta = \rho/\rho_s = \exp(-h\beta H_a); \quad (21a)$$

$$c = C_L/C_{LR}; \quad C_{LR} = \sqrt{C_{D0}/K} \quad (21b)$$

$$\frac{dh}{d\tau} = b v \sin \gamma \quad (22a)$$

$$\frac{dv}{d\tau} = -A_1 b (1+c^2) \delta v^2 - \frac{b^2 \sin \gamma}{(b-1+h)^2} \quad (22b)$$

$$\frac{d\gamma}{d\tau} = A_2 b c \delta v + \frac{b v \cos \gamma}{(b-1+h)} - \frac{b^2 \cos \gamma}{(b-1+h)^2 v} \quad (22c)$$

where,  $A_1 = C_{D0} S \rho_s H_a / 2m$ ;  $A_2 = C_{LR} S \rho_s H_a / 2m$

#### 4. OPTIMAL CONTROL

For an optimal control problem with minimum fuel consumption, it is required to choose the performance index to minimize the total characteristic velocity, which is the sum of the initial characteristic velocity  $\Delta V_d$ , the deorbit impulse from HEO, and the final characteristic velocity  $\Delta V_c$ , the circularization impulse into LEO. Thus, the performance index is given by [6]

$$J = \Delta V = \Delta V_d + \Delta V_c \quad (23)$$

where  $\Delta V_d = \sqrt{\mu/R_d} - (R_a/R_d) V_e \cos(-\gamma_e)$

$$\Delta V_c = \sqrt{\mu/R_c} - (R_a/R_c) V_f \cos \gamma_f$$

In the normalized form, the performance index becomes,

$$J = \Delta v = \Delta v_d + \Delta v_c \quad (24)$$

where,

$$\Delta v_d = \sqrt{1/a_d} - (v_e/a_d)\cos(-\gamma_e)$$

$$\Delta v_c = \sqrt{1/a_c} - (v_f/a_c)\cos(\gamma_f)$$

Alternatively, the expressions for  $\Delta v_d$  and  $\Delta v_c$  are given by (12) and (13). The minimization of  $J$  with respect to  $\gamma_e$  and  $\gamma_f$  yields  $\gamma_e = 0$  and  $\gamma_f = 0$ . Because of this, it is natural to postulate that the optimal trajectory behaves as  $\gamma(t) = 0$  for all values of time  $t$  between the entry time  $t_e$  and the exit time  $t_f$ . This constant flight path angle along the trajectory means that the altitude is constant at the value equal to altitude of the atmospheric interface. Thus, the optimal trajectory becomes an ideal (grazing) trajectory.

We are interested in finding the minimization of the fuel with respect to the control  $C_L$ . Using Pontryagin principle, we formulate Hamiltonian as

$$\begin{aligned} \mathcal{H} = & \lambda_h b v \sin \gamma + \lambda_v \left\{ A_1 b (1+c^2) \delta v^2 - \frac{b^2 \sin \gamma}{(b-1+h)^2} \right\} \\ & + \lambda_\gamma \left\{ A_2 b c \delta v + \frac{b v \cos \gamma}{(b-1+h)} - \frac{b^2 \cos \gamma}{(b-1+h)^2 v} \right\} \end{aligned} \quad (25)$$

where  $\lambda_h$ ,  $\lambda_v$ , and  $\lambda_\gamma$  are the costates (Lagrange multipliers) corresponding to the states  $h$ ,  $v$ , and  $\gamma$  respectively.

The unconstrained optimal control is obtained from

$$\frac{\partial \mathcal{H}}{\partial c} = 0 \quad (26a)$$

This leads us to

$$c = E_m \lambda_\gamma / v \lambda_v; \text{ where, } E_m = (L/D)_{\max} \quad (26b)$$

Realistically, the control  $C_L$  is bounded by the aerodynamic characteristics of the vehicle. Thus, for the constrained control,

$$|C_L| \leq C_{L_{\max}} \text{ or } |c| \leq c_{\max} \quad (27)$$

The costate (adjoint) variables  $\lambda$ 's are solved from

$$\frac{d\lambda_h}{d\tau} = -\frac{\partial \mathcal{H}}{\partial h}; \quad \frac{d\lambda_v}{d\tau} = -\frac{\partial \mathcal{H}}{\partial v}; \quad \frac{d\lambda_\gamma}{d\tau} = -\frac{\partial \mathcal{H}}{\partial \gamma} \quad (28)$$

### Boundary Conditions

The initial and final boundary conditions are given for the normalized altitude  $h$  as

$$h(\tau=0) = 1.0, \quad h(\tau=\tau_f) = 1.0 \quad (29a)$$

and for the normalized velocity  $v$ , and the flight path angle  $\gamma$  as

$$(2-v_e^2)a_d^2 - 2a_d - v_e^2 \cos^2 \gamma_e = 0 \quad (29b)$$

$$(2-v_f^2)a_c^2 - 2a_c - v_f^2 \cos^2 \gamma_f = 0 \quad (29c)$$

The above relations result from the considerations of energy conservation and angular momentum conservation applied to the HEO-to-entry elliptic transfer orbit and exit-to-LEO elliptic transfer orbit, respectively. The remaining boundary conditions are obtained from the transversality conditions on the costates. Thus, the optimization procedure, requiring the solution of state equations (22) and the corresponding costate equations (28) along with the boundary conditions (29), leads us to the formation of a nonlinear, two-point, boundary value problem (TPBVP), which is solved by using a multiple shooting method.

### 5. NUMERICAL DATA AND RESULTS

A typical set of numerical values used for simulation purposes is given below [4].

$$\begin{aligned} C_{D0} &= 0.21; \quad K = 1.67; \quad m/S = 300 \text{ kg/m}^2 \\ \rho_s &= 1.225 \text{ kg/m}^3; \quad \mu = 3.986 \times 10^{14} \text{ m}^3/\text{sec}^2 \\ \beta &= 1/6900 \text{ m}^{-1}; \quad R_E = 6378 \text{ KM} \\ H_a &= 120 \text{ KM}; \quad R_d = 12996 \text{ KM}; \quad R_c = 6558 \text{ KM} \end{aligned}$$

Using the above mentioned data, simulations are carried out. Time histories of altitude  $H$ , velocity  $V$ , and flight path angle  $\gamma$ , for total flight time of 540 seconds, are shown in Figure 8. Figure 9 shows the lift and the lift-to-drag ratio as a function of time from atmospheric entry to exit points. Those for the heating rate, dynamic pressure and density are shown in Figure 10. The maximum positive  $L/D$  is used initially to recover from the

downward plunge. As the flight path angle becomes positive, the maximum negative L/D is used to level off the flight. Then a negative L/D is used to maintain flight at a small positive flight path angle in order to achieve the shallow exit.

Figure 8(a) shows the time history of altitude. The spacecraft enters and exits the atmosphere at an altitude of 120 KM. The minimum altitude reached is 55.56 KM. The velocity versus time is shown in Figure 8(b). The vehicle enters the atmosphere with a velocity of 9029 m/sec and leaves the atmosphere with a speed of 7795 m/sec, thus giving a velocity reduction of 1234 m/sec. The profile of flight path angle with time is shown in Figure 8(c). The spacecraft enters the atmosphere with an inclination of -5.665 degrees and exits with +0.927 degrees. The control history is shown in Figure 9(a). The vehicle enters the atmosphere with maximum lift capability and switches to the minimum lift coefficient and then gradually increases during the remaining flight.

The minimum-fuel transfer requires a deorbit (impulse) characteristic velocity  $\Delta V_d$  of 1034.29 m/sec and a reorbit characteristic velocity  $\Delta V_c$  of 73.25 m/sec, with a total characteristic velocity of 1107.54 m/sec. Let us compare this aeroassisted transfer with the Hohmann transfer, which is maneuvered entirely in outer space, and has a deorbit characteristic velocity  $\Delta V_{dh}$  of 1002.39 m/sec and the reorbit characteristic velocity  $\Delta V_{ch}$  of 1192.25 m/sec, giving a total characteristic velocity for Hohmann transfer  $\Delta V_h$  of 2194.64 m/sec. This shows that the saving due to coplanar, aeroassisted transfer over Hohmann transfer is 49.54 percent. In the case of idealized transfer which follows a grazing trajectory along the atmospheric boundary, the deorbit characteristic velocity  $\Delta V_{di}$  is 1016.24 m/sec and reorbit characteristic velocity  $\Delta V_{ci}$  is 17.94 m/sec, thus giving a total characteristic velocity of 1034.18 m/sec. The optimal transfer requires only 6.63 percent more fuel than that of the idealized transfer.

The heating rate  $Q_r$ , along the atmospheric trajectory, is computed for a sphere of radius of 1 meter, according to the relation [3],

$$Q_r = K_r \rho^{0.5} V^{3.08} \quad (30)$$

where,  $\rho$  is the atmospheric density in  $\text{kg}/\text{km}^3$ ,  $V$  is the velocity in  $\text{km}/\text{sec}$  and  $K_r$  is the proportionality constant equal to  $3.08 \times 10^{-4}$ . Figure 10(a) shows peak heating rate of 129.2 W/sq.cm. and the total integrated heating rate is found to be 15.536 KW-sec/cm<sup>2</sup>. As shown in Figure 10(b), the peak dynamic pressure is 26.73 kN/sq.m. The variation of density in Figure 10(c) attains a maximum value of 0.3902  $\text{kg}/\text{m}^3$ .

### Entry Corridor

A concept associated with atmospheric entry trajectories is that of the entry corridor. This is required due to the fact that a given vehicle cannot fly an acceptable atmospheric flight for arbitrary initial conditions at the

entry point. If the flight path angle  $\gamma_e$  is too steep, the vehicle will later suffer excessive aerodynamic and aerothermodynamic loadings even if the maximum lift is directed upward. This also may lead to "crash" condition. Or if the entry flight path angle is too shallow, the vehicle will exit the atmosphere again with an orbital velocity even if the maximum lift is directed downward. This leads to "escape" or uncontrolled skip-out condition. These boundaries of entry flight path angle are often taken to define the corridor of acceptable entry conditions. The responsibility of generating acceptable entry corridor lies largely with the last phase of the midcourse guidance system. Without a proper midcourse correction, it will be beyond the capability of the atmospheric flight control system to fly a safe trajectory.

The entry corridor is the entry interface undershoot and overshoot and is usually specified by the entry flight path angle  $\gamma_e$ , as dictated by the entry dynamics. In the present case of fuel-optimal, coplanar, orbital transfer, four simulations are carried out as shown in Table I below. Figure 11 shows the entry corridor with flight path angle ranging from  $-7.24$  deg  $-5.485$  degrees.

Table I:

Simulation No	$\gamma_e$ deg	$V_e$ m/sec	$C_L$	flight time seconds
1	-7.240	9020	0.5381	510
2	-6.412	9025	0.5786	600
3	-5.665	9029	0.6299	540
4	-5.485	9030	0.6502	600

### Approximate Solutions

As a first cut in getting approximate solutions, we try to obtain the optimal solutions using the fact that the flight path angle  $\gamma$  is small. Using this approximation, the simulation has been repeated. Interestingly, it is found that we get almost the identical solutions with much less computation time. For example, in this case, the deorbit characteristic velocity  $\Delta V_d$  is equal to 1034.29 m/sec, and the reorbit characteristic velocity  $\Delta V_c$  is found to be 73.26 m/sec, giving a total minimum characteristic velocity as 1107.55 m/sec. The total integrated heating rate is 15.556 KW-sec/cm<sup>2</sup>. The execution time on the computer is now only 59.2 percent of the execution time for the optimal solution without the approximation.

### 6. MULTIPLE SHOOTING METHOD

The determination of optimal control (26) requires the solution of a sixth order, nonlinear TPBVP consisting of state equations (22) and costate equations (28) and the associated boundary conditions (29). This can only be done by numerical methods. The multiple shooting method is one of the



powerful methods for solving nonlinear TPBVP's. The corresponding OPTSOL code was developed by DFVLR establishment at Oberpfaffenhofen, West Germany [7,8].

In solving any boundary value problem with the given initial and final conditions, we assume additional initial data and integrate forward so that the solution satisfies the given final condition as well. This is also called a simple shooting method. Here, the convergence of the solution is highly sensitive to the assumed initial data. It is found that the error due to inaccurate initial data can be made arbitrarily small by performing the integration over sufficiently smaller subdivided panels within the given interval and thereby leading to the multiple shooting method. Thus, the multiple shooting method is a simultaneous application of the simple shooting method at several points within the interval of integration. Here, the trajectory may be restarted at intermediate points using new guesses. Jacobian matrices are formed for each segment. The resulting iteration scheme, based on reducing all discontinuities at internal grid points to zero, leads to a system of linear algebraic equations.

Figure 12 shows the successive approximations of the altitude  $H$ , during the course of 0, 5, and 14 iterations. For the sake of clarity only 4 out of 20 intervals are shown. The initial guessed value for the altitude is 120 KM at every interval. It can be seen how the initially large jumps at the subdivision points of the multiple shooting method are "flattened out" with the increase of iterations.

## 7. CONCLUSIONS

A brief treatment of the three types of orbital transfers, Hohmann transfer, idealized transfer, and realistic transfer, established the fact that the realistic transfer is the upper bound of the idealized (grazing) transfer.

In this report, we have addressed the minimization of fuel consumption during the atmospheric portion of an aeroassisted, coplanar, orbital transfer vehicle. The resulting two-point, boundary value problem was solved by using an efficient multiple shooting algorithm. Simulations have been carried out to establish a corridor of entry conditions suitable for flying the vehicle through the atmosphere. The strategy for the atmospheric portion of the minimum-fuel transfer is to fly at the maximum  $L/D$  initially in order to recover from the downward plunge, and then to fly at a negative  $L/D$  to level off the flight such that the vehicle skips out of the atmosphere with a flight path angle near zero degrees.

## ACKNOWLEDGEMENTS

This research work was supported by a grant from NASA Langley Research Center, Hampton, under the technical monitorship of Dr Douglas B. Price, Assistant Head, Spacecraft Control Branch.

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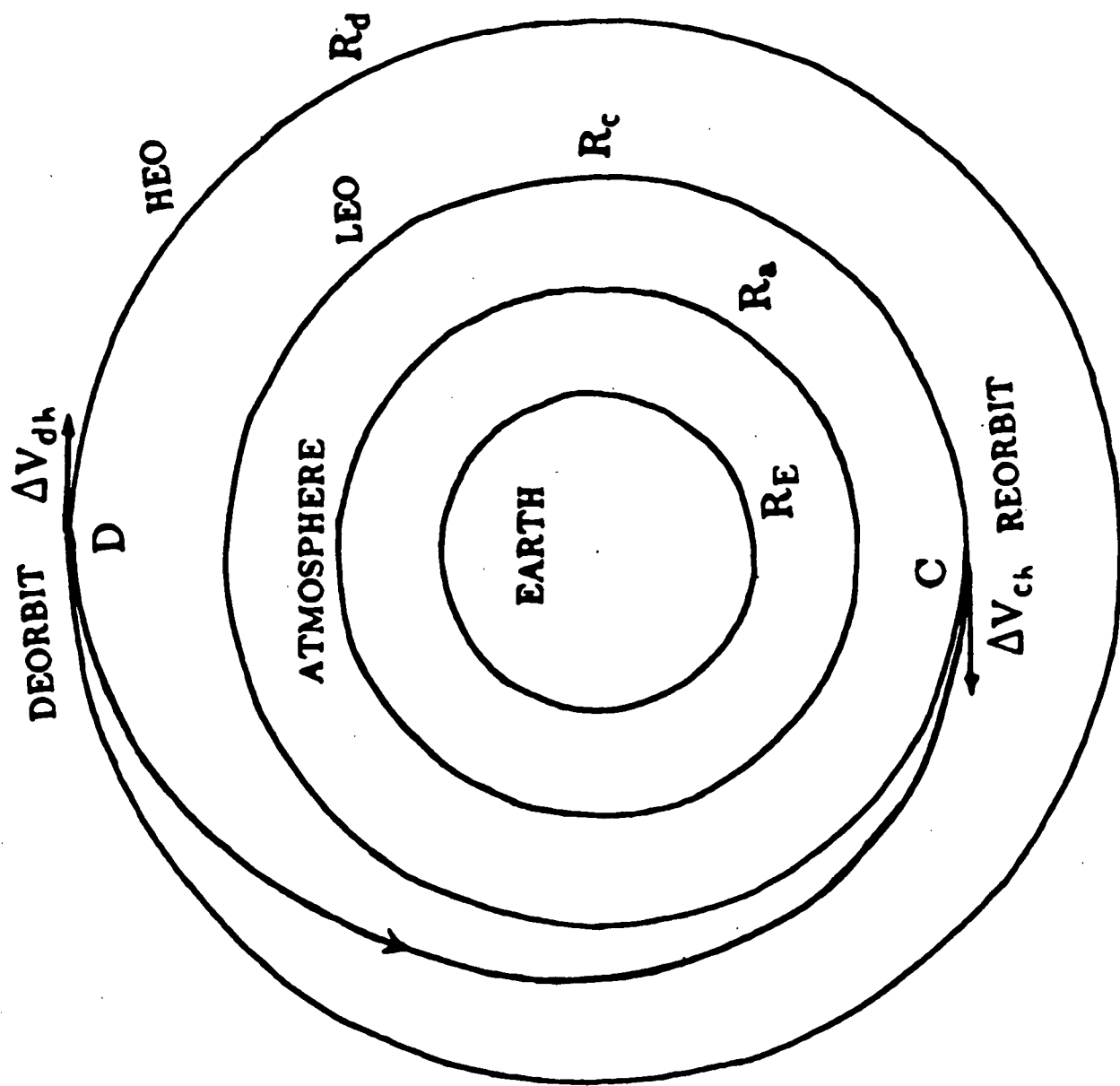


Fig.1 Hohmann transfer

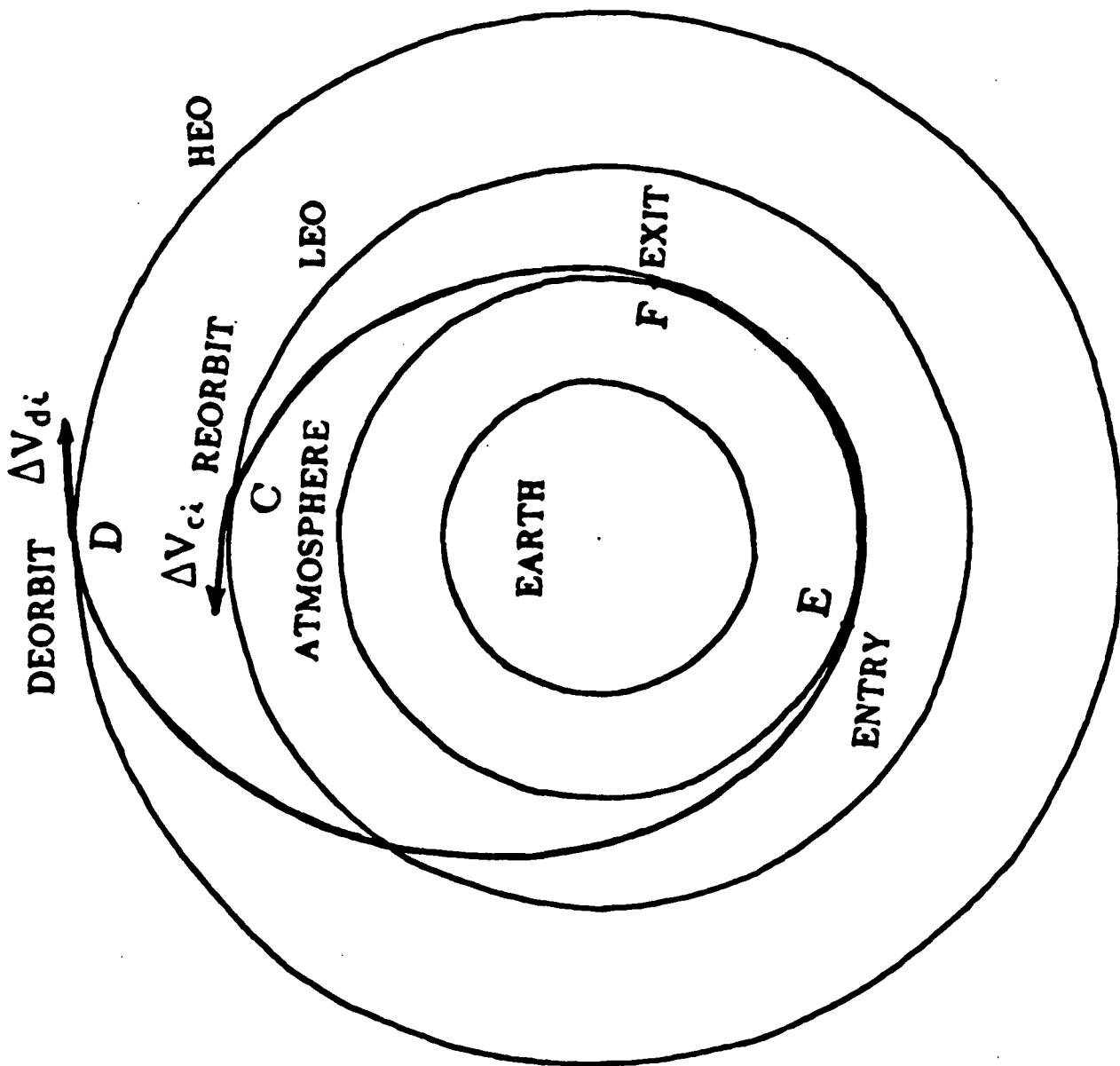


Fig.2 Ideal aeroassisted transfer

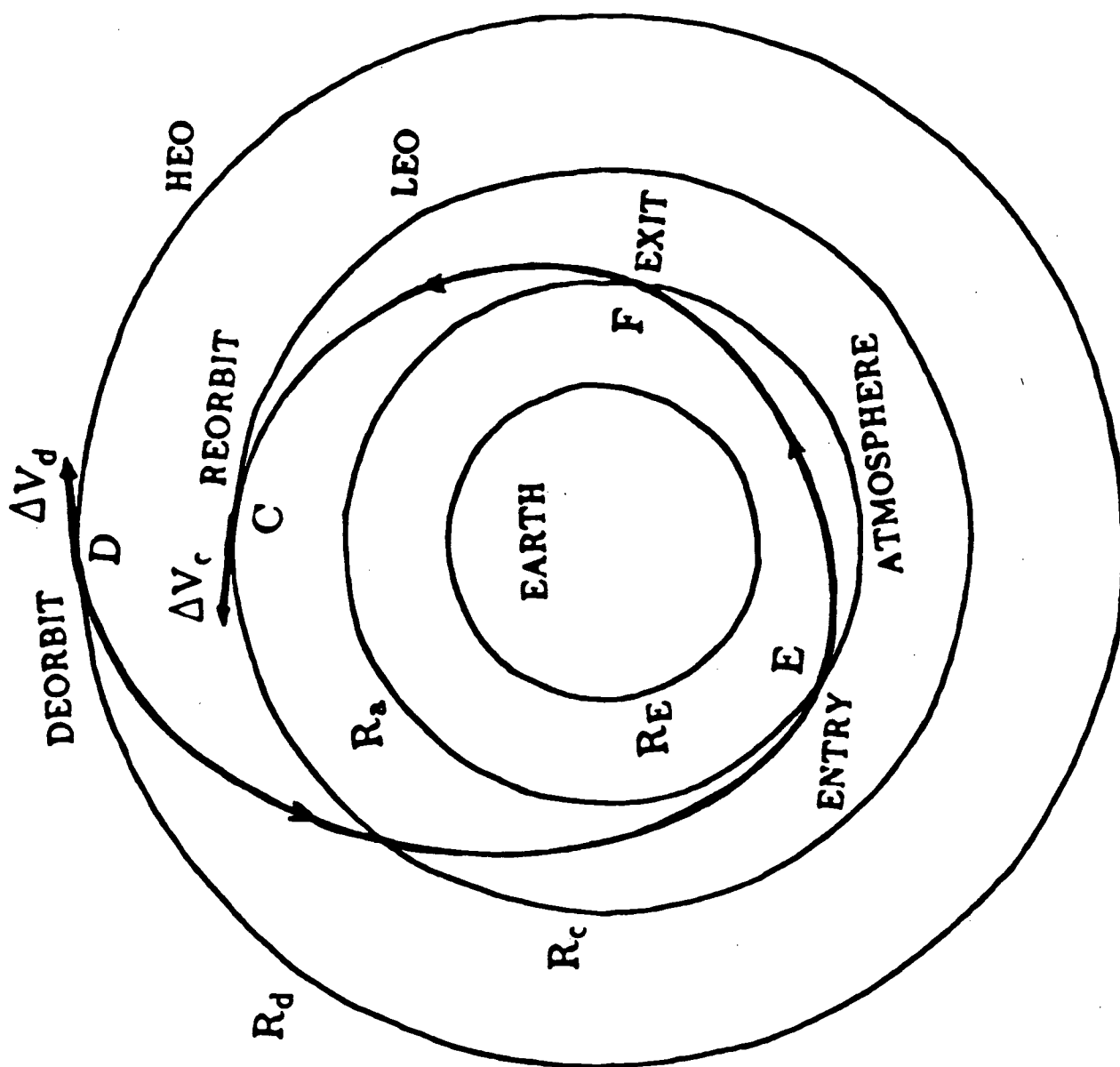


Fig.3 Aeroassisted coplanar orbital transfer

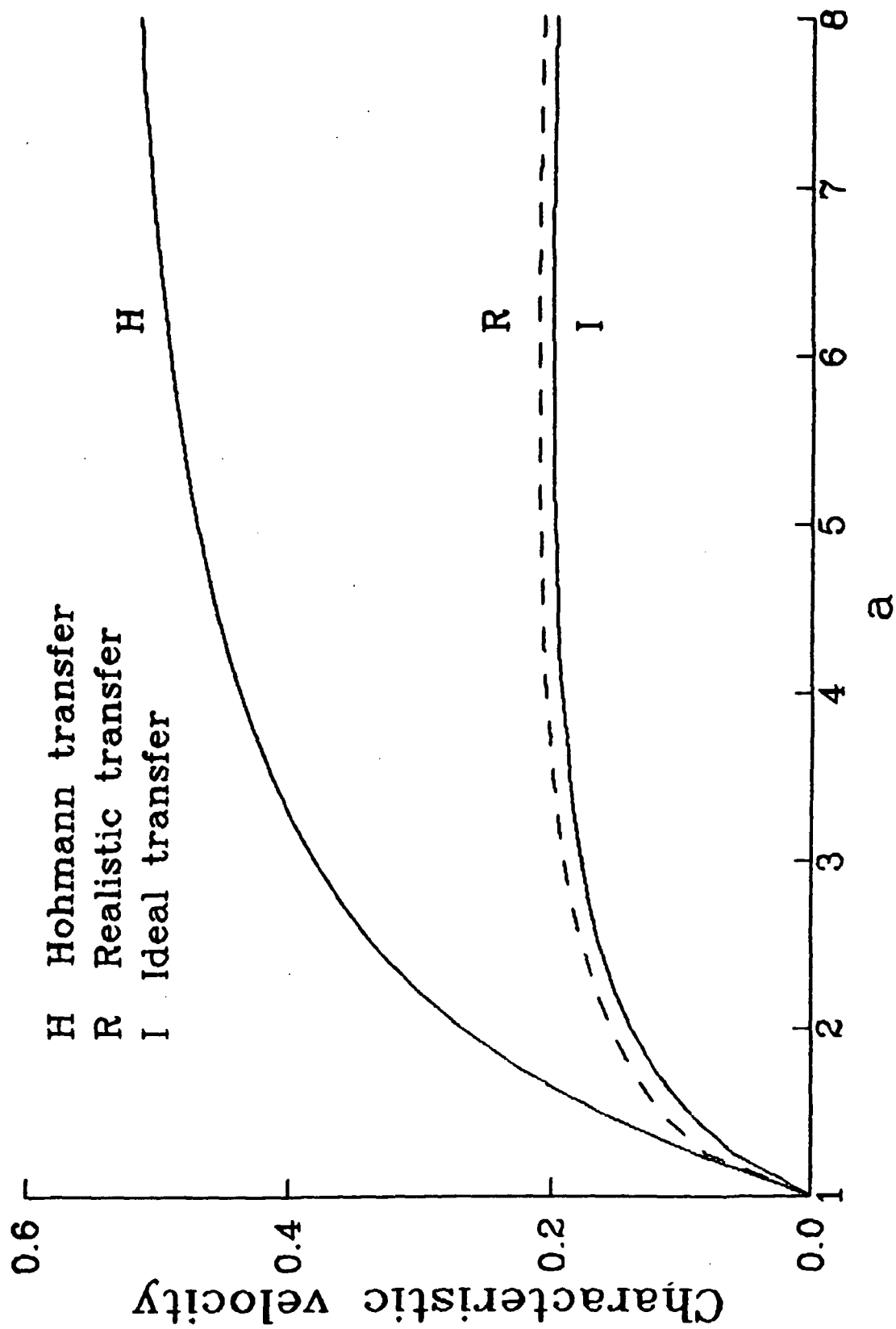


Fig.4 Comparison of orbital transfers

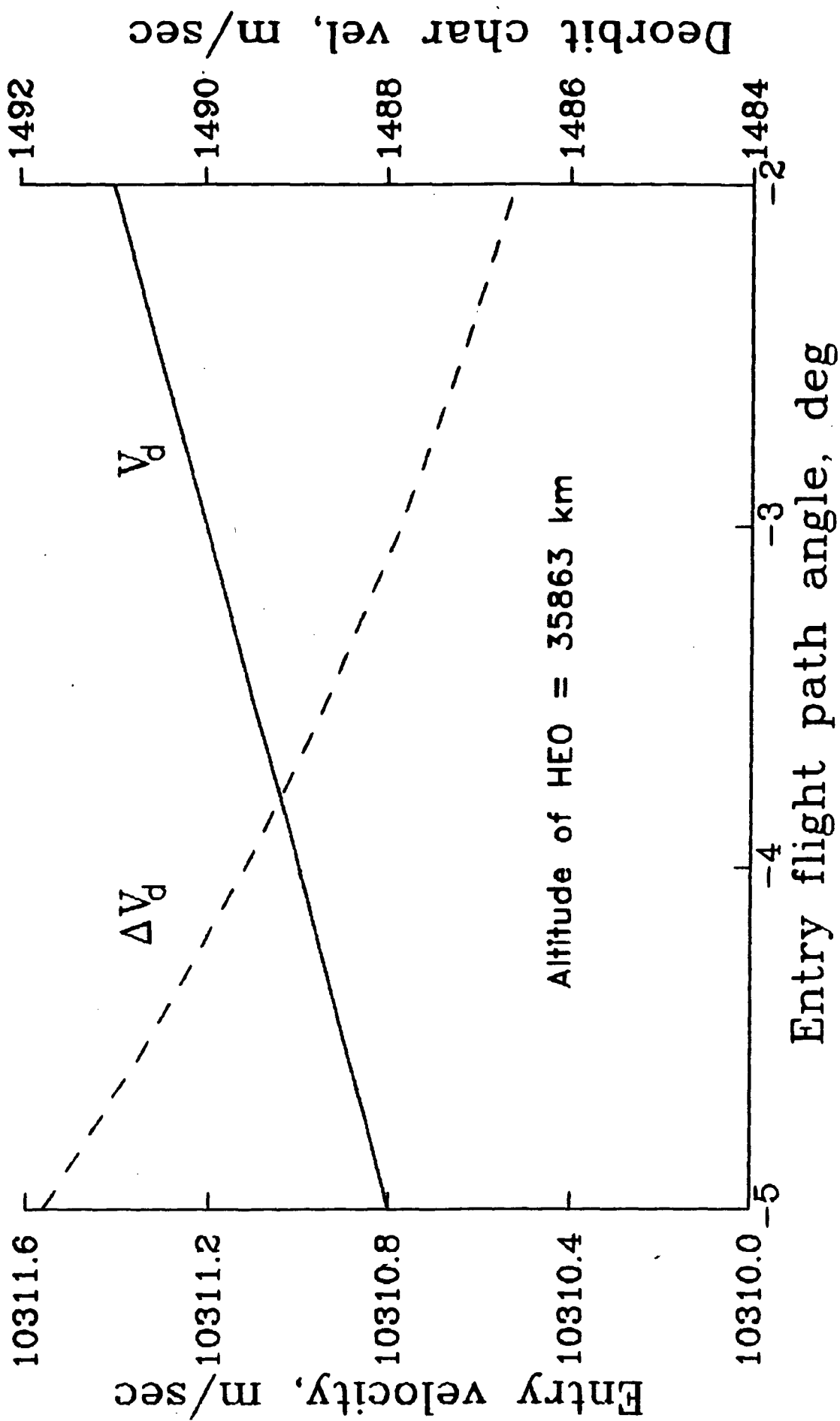


Fig.5 Relations at the entry corridor

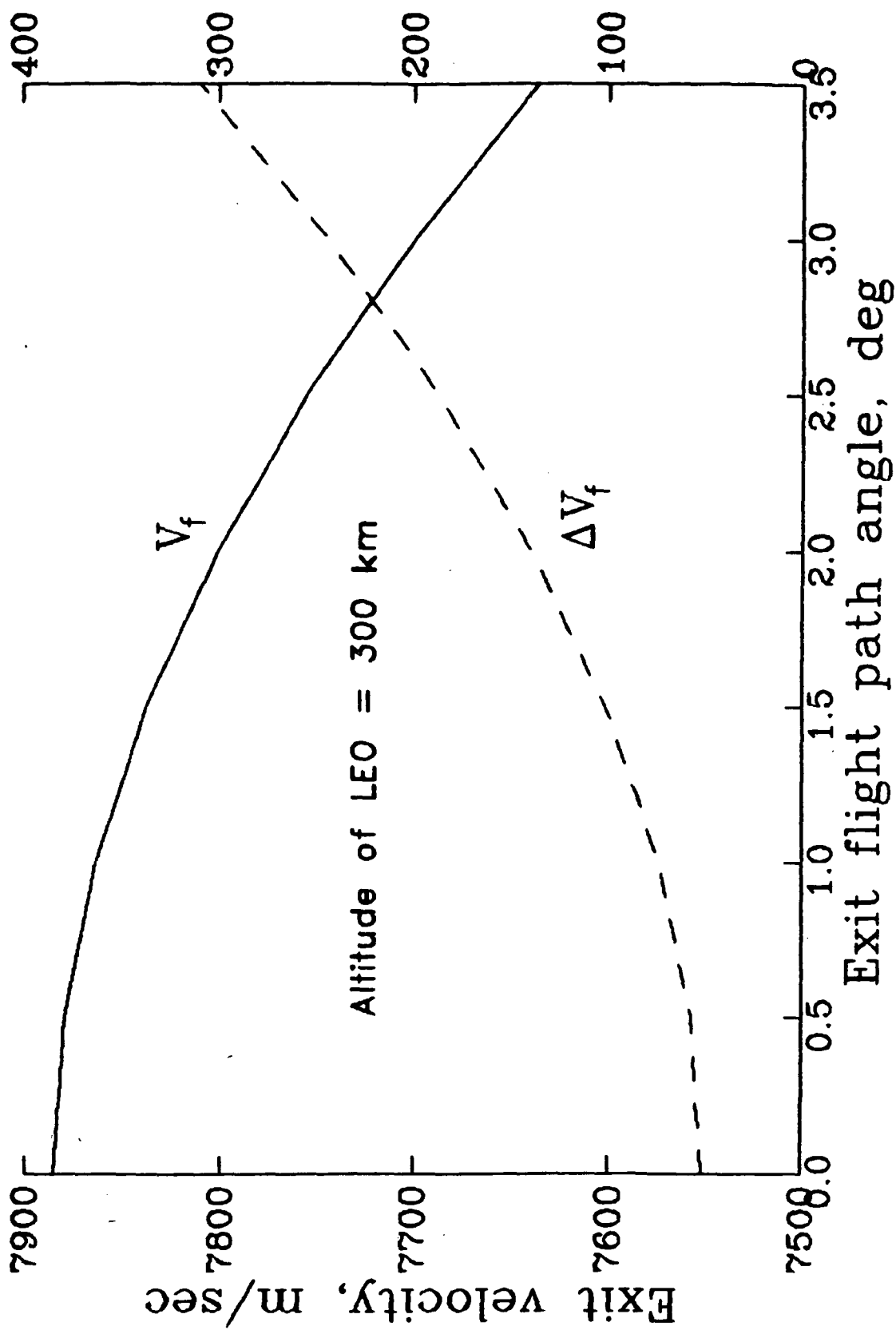


Fig.6 Relations at the exit corridor



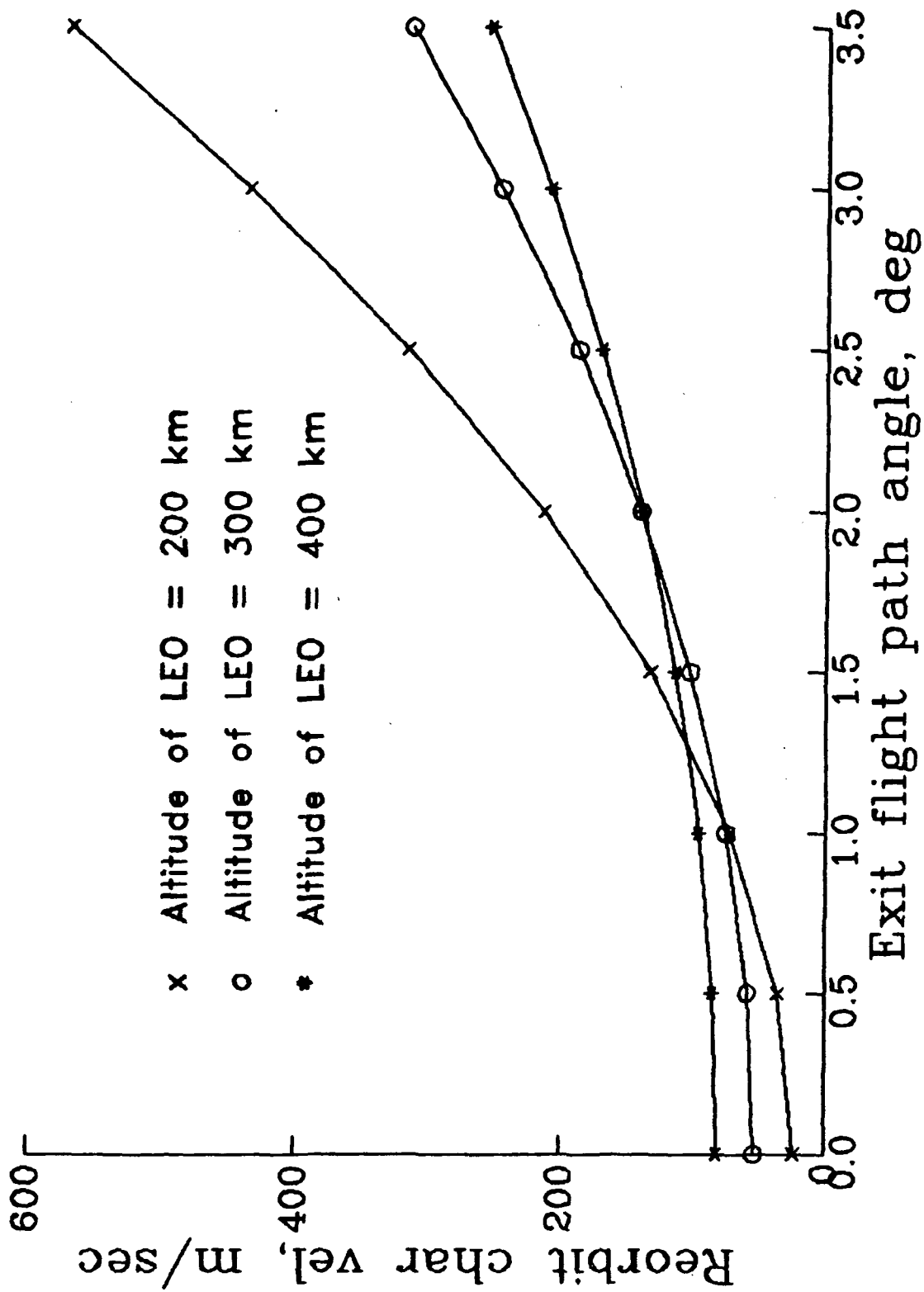


Fig.7 Effect of LEO on reorbit characteristic velocity

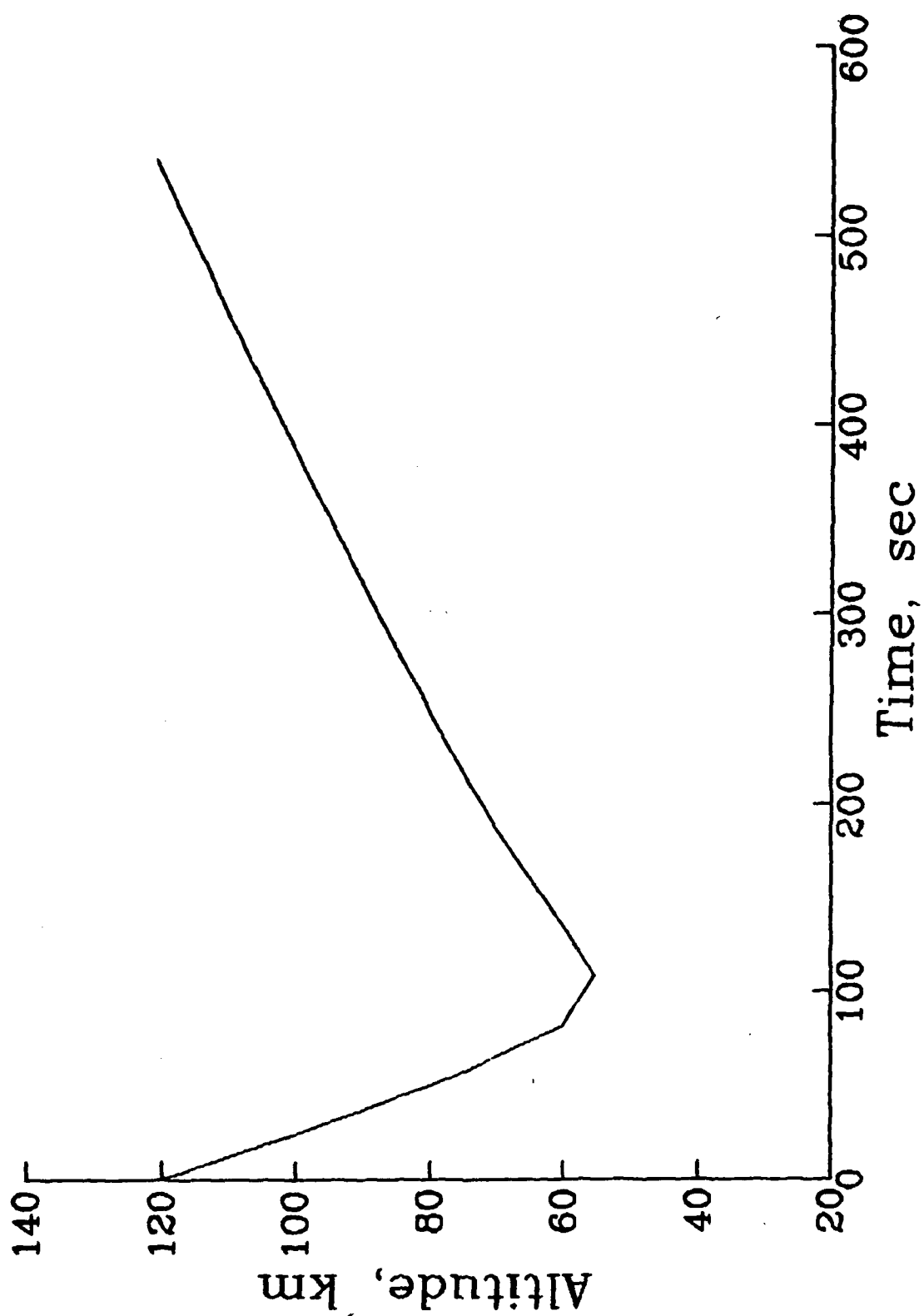


Fig.8(a) Time history of altitude

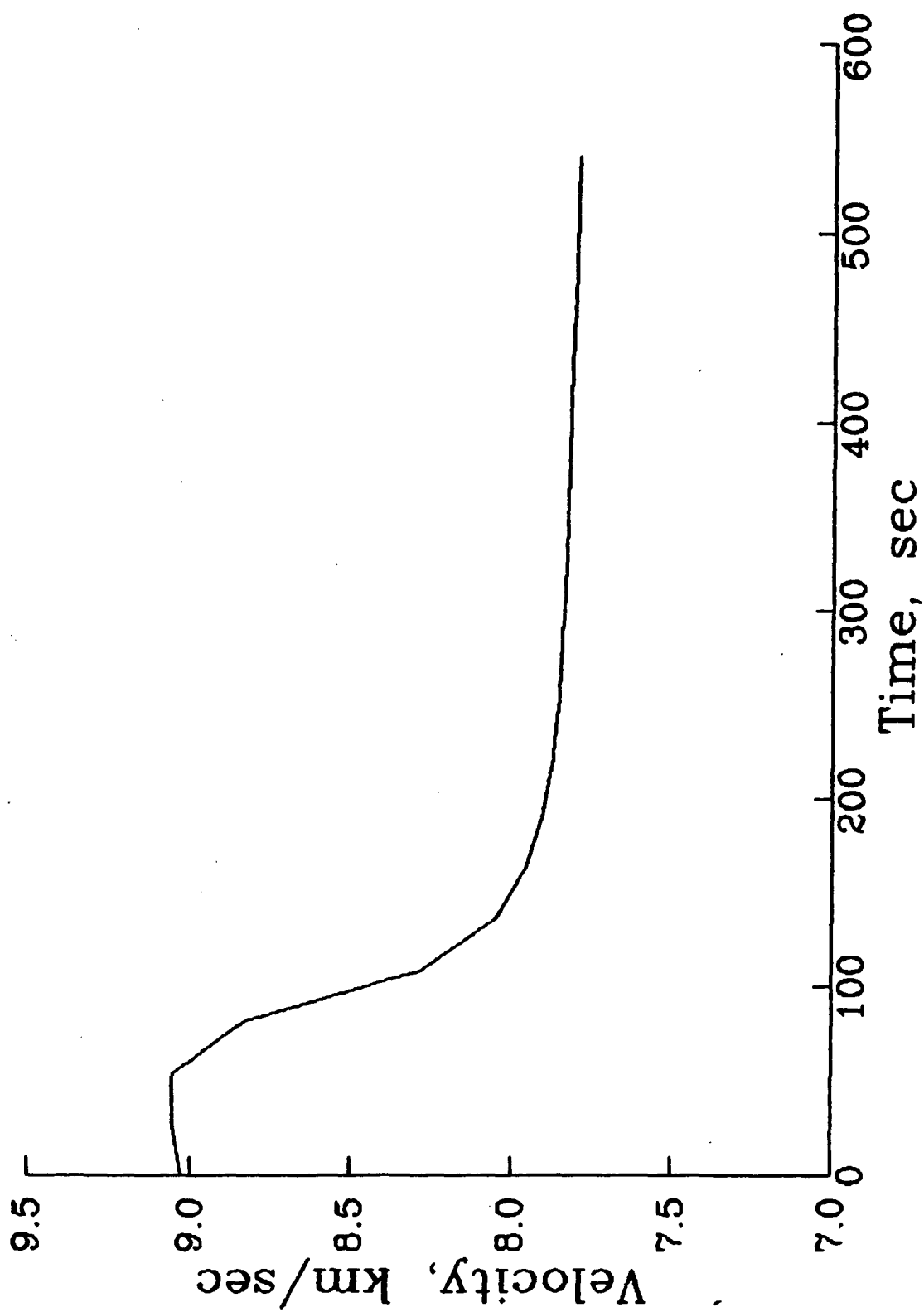


Fig.8(b) Time history of velocity

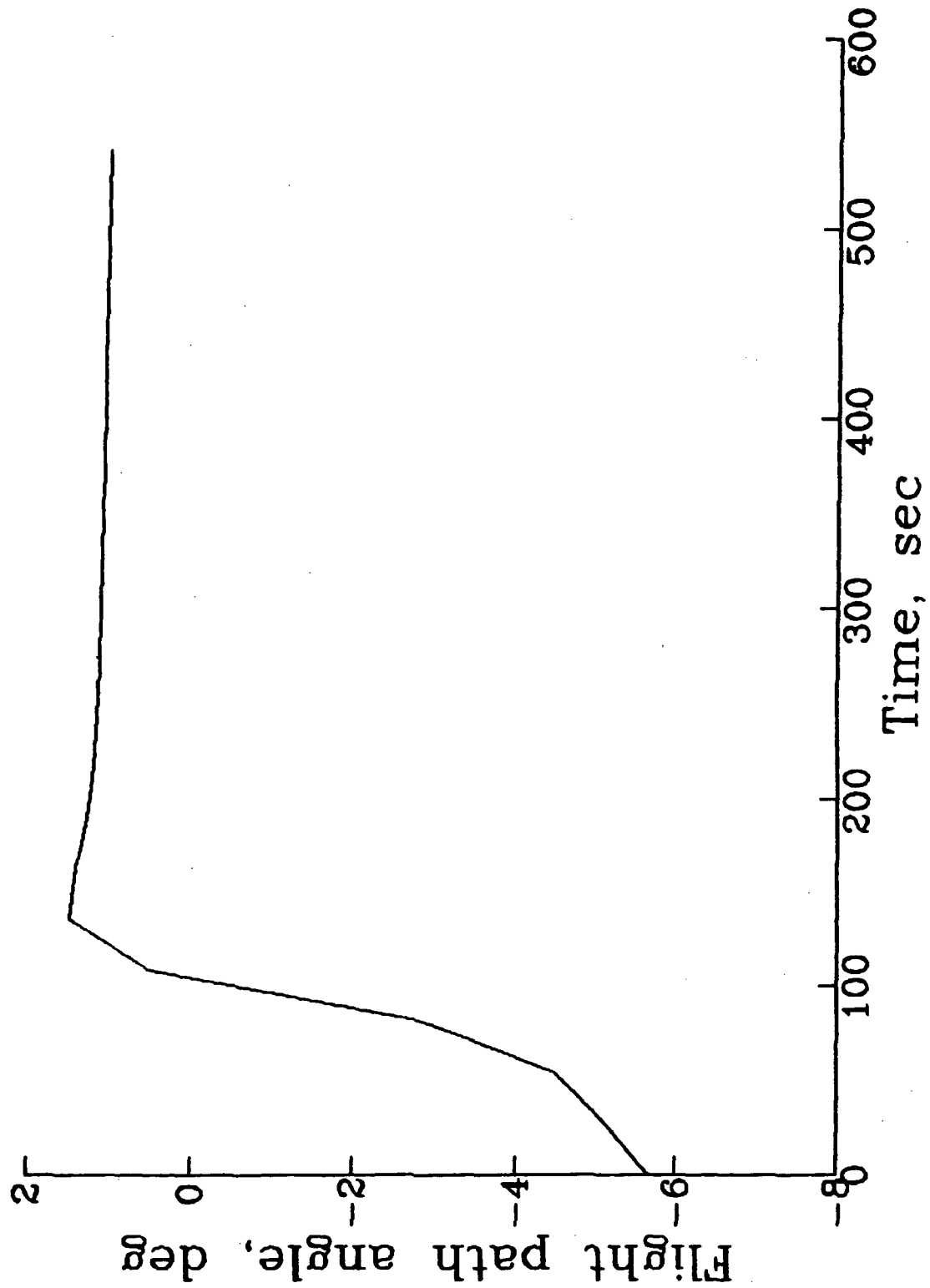


Fig.8(c) Time history of flight path angle

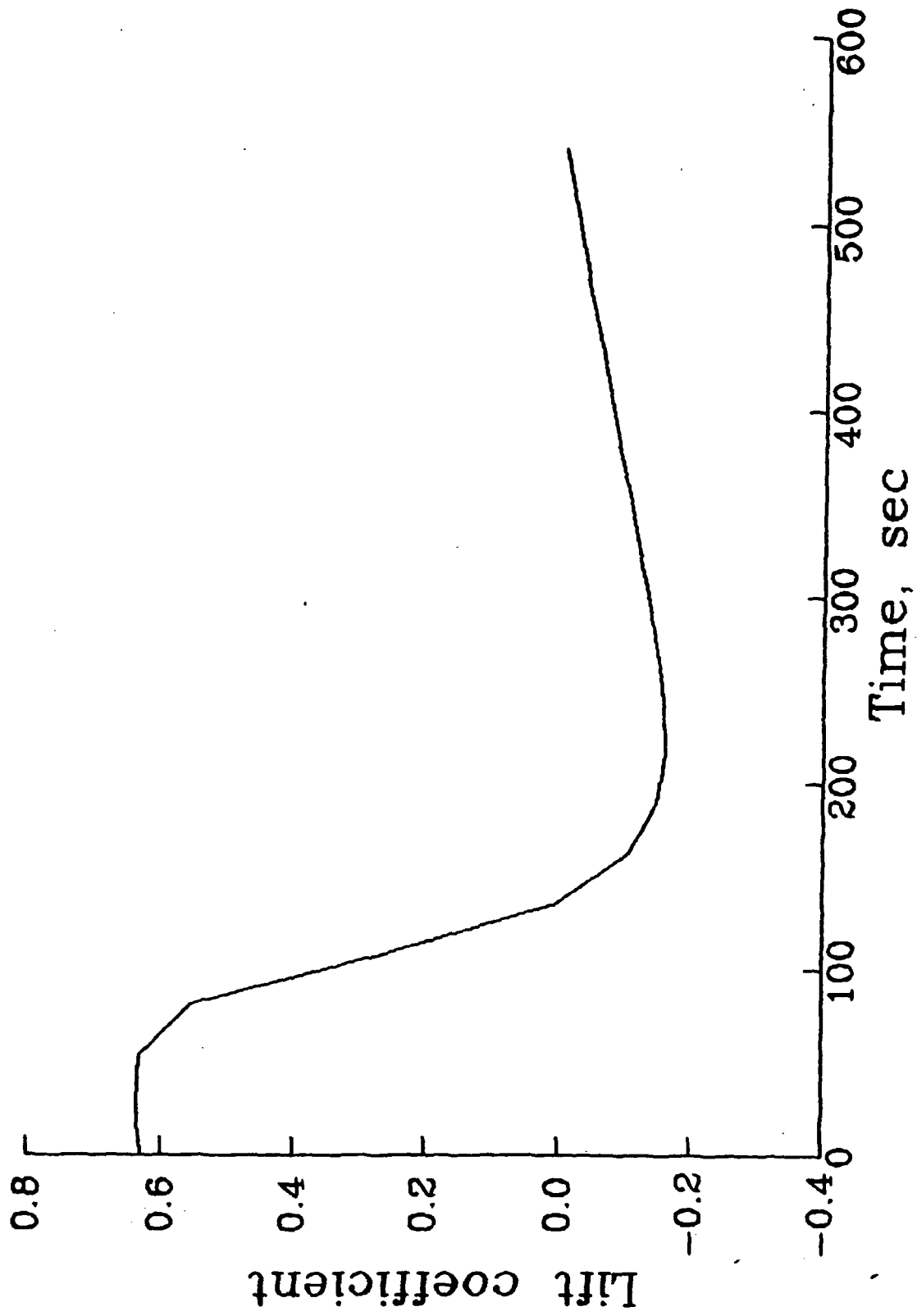


Fig.9(a) Time history of lift coefficient

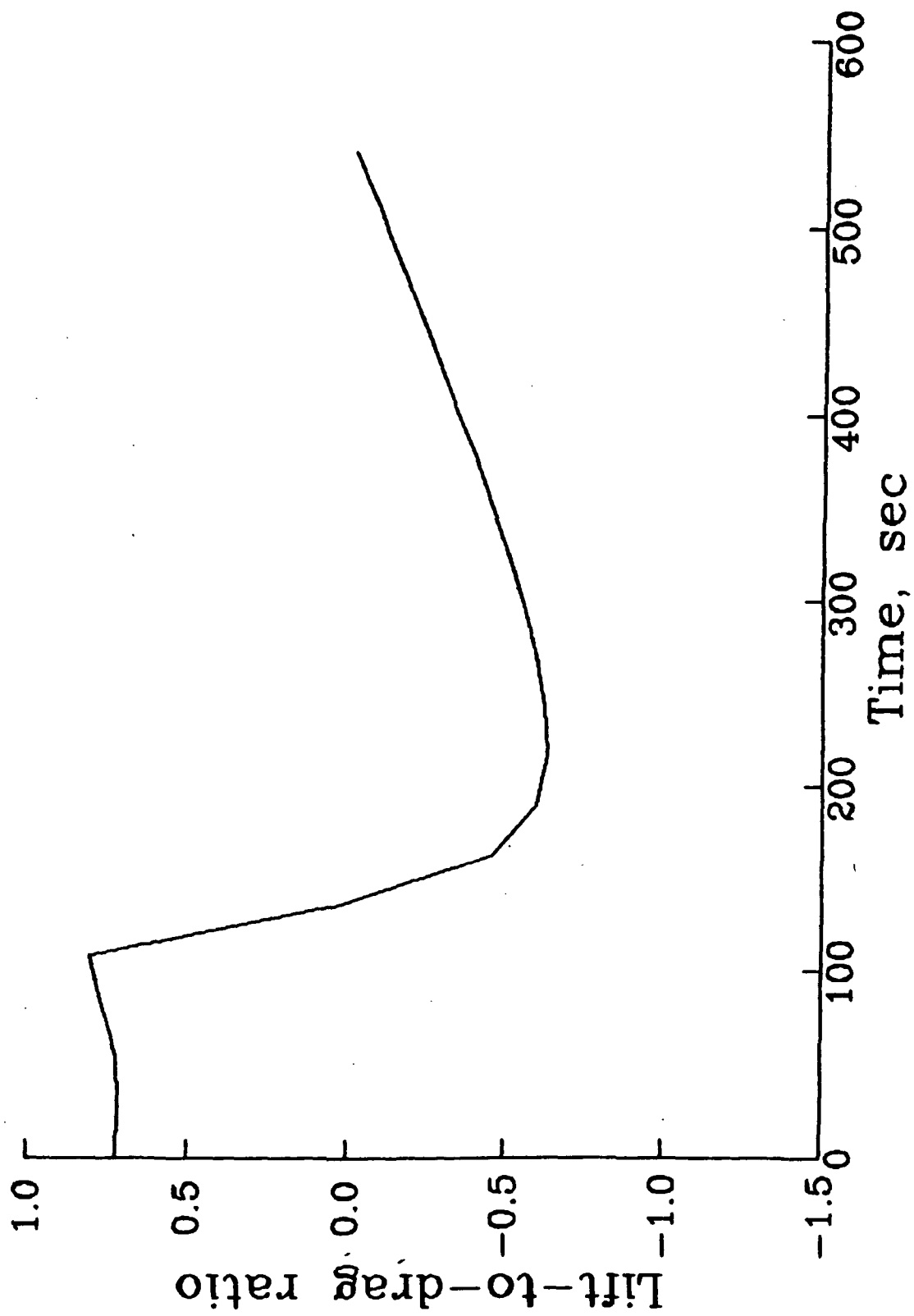


Fig.9(b) Time history of lift-to-drag ratio

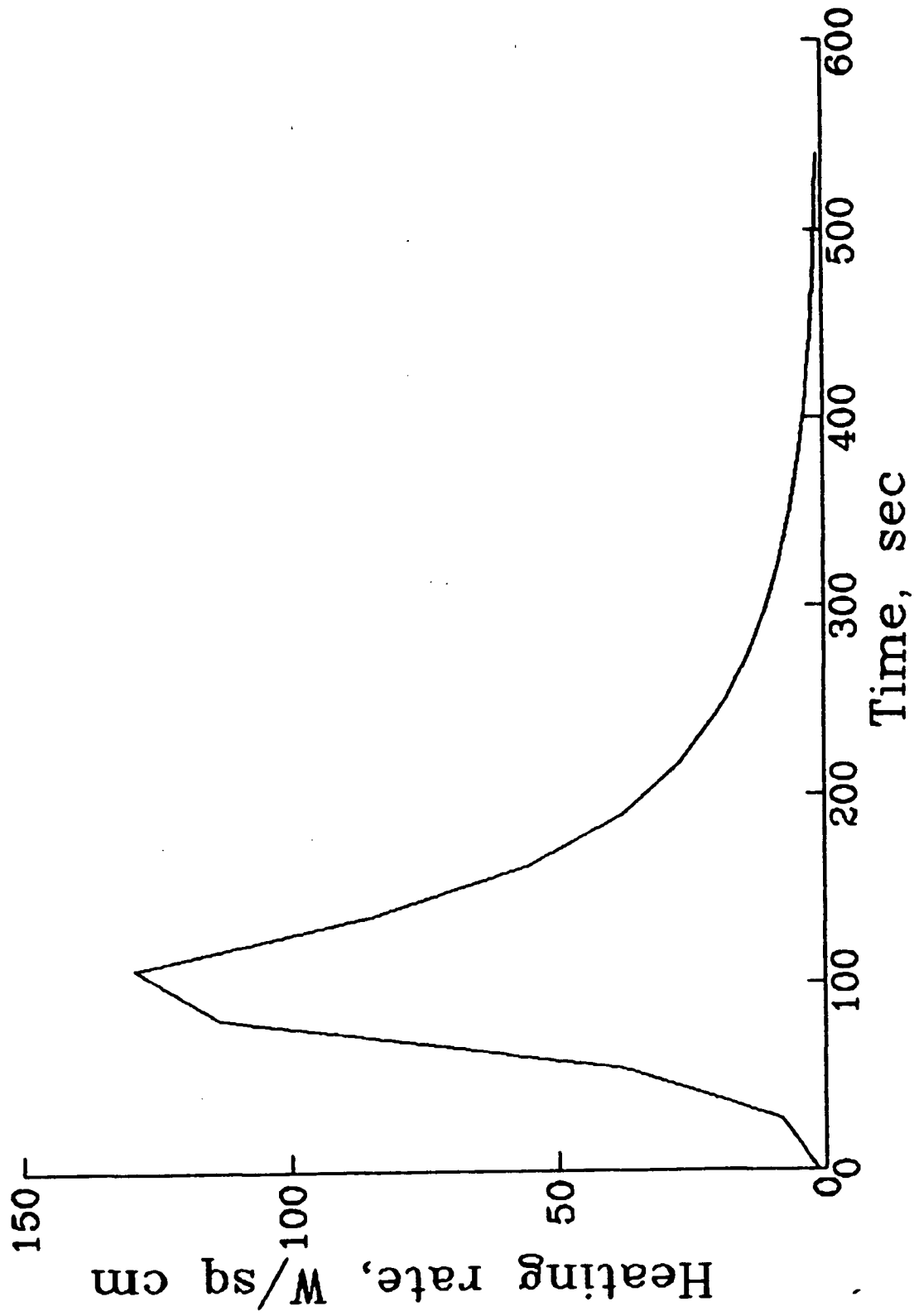


Fig.10(a) Time history of heating rate

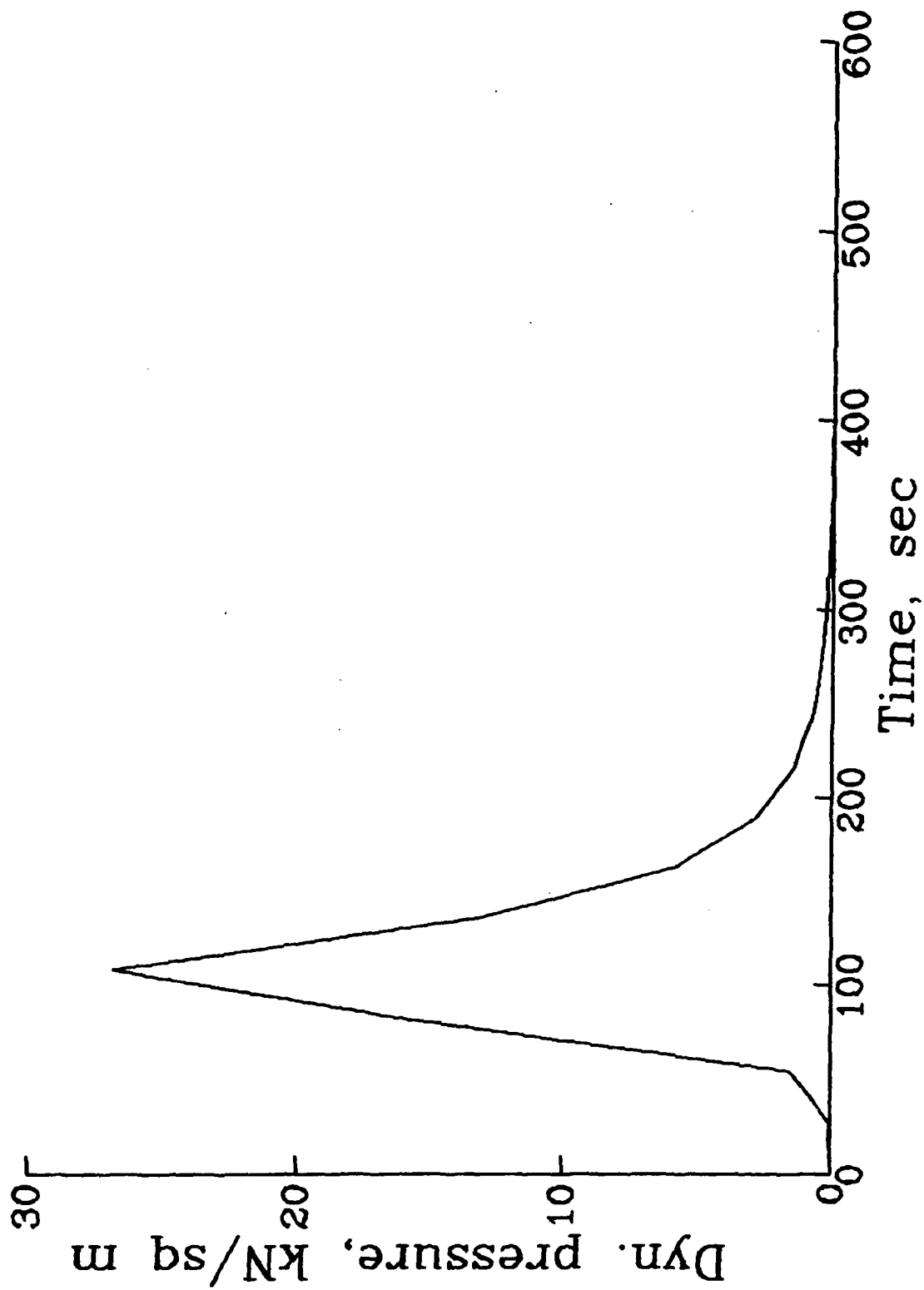


Fig.10(b) Time history of dynamic pressure



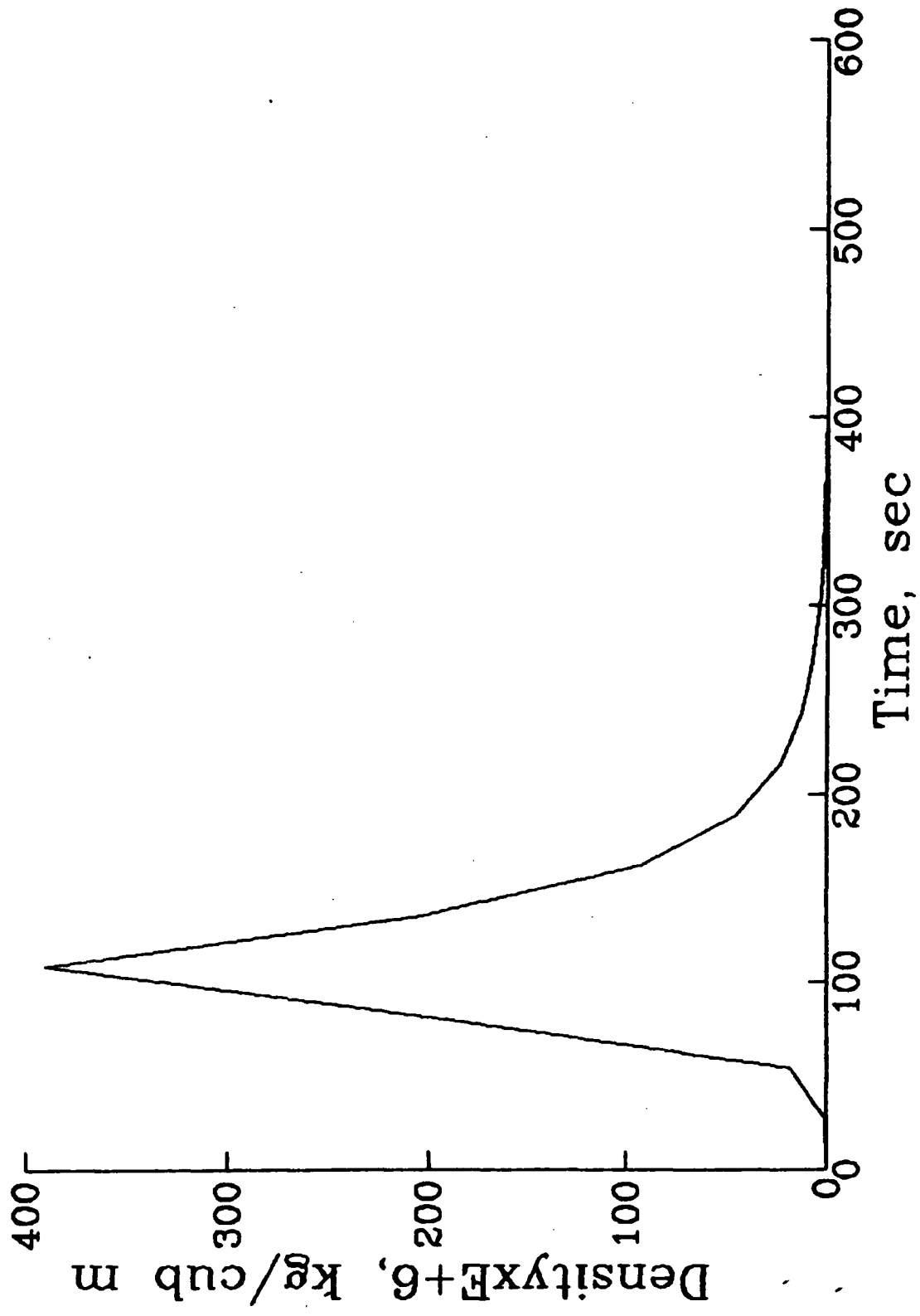


Fig.10(c) Time history of density

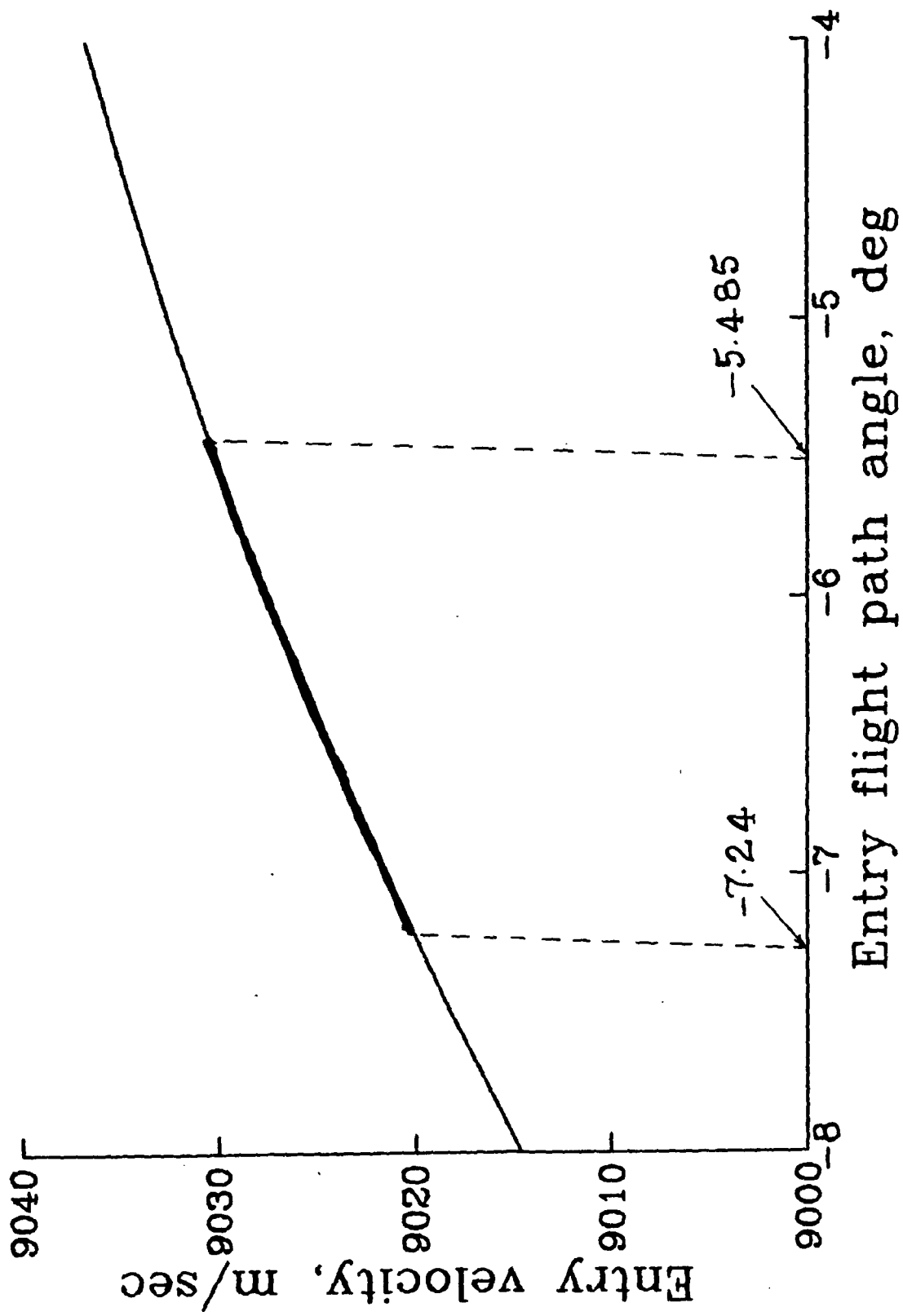


Fig.11 Entry corridor for optimal fuel

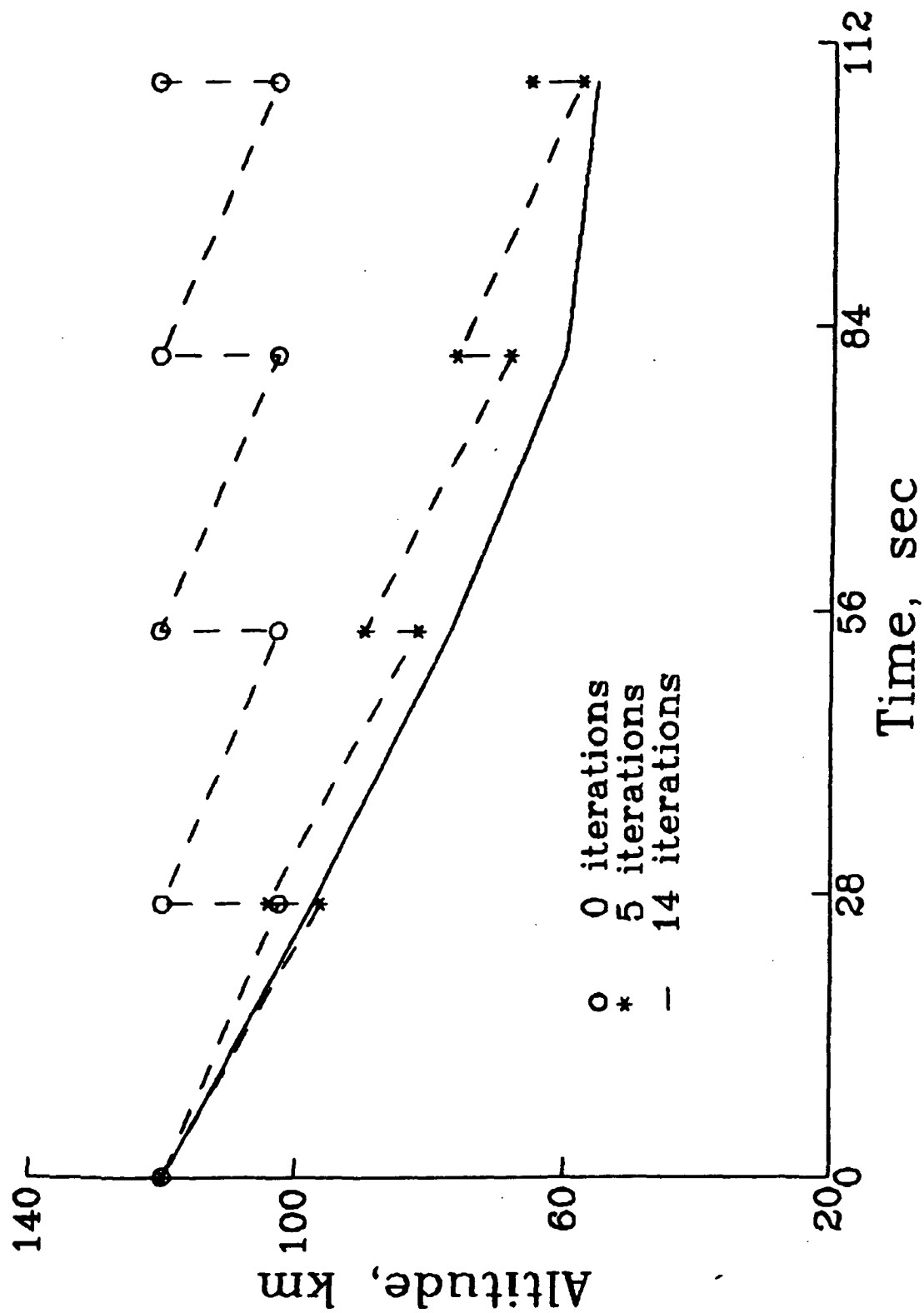


Fig.12 Successive approximations for altitude

**FUEL-OPTIMAL TRAJECTORIES FOR AEROASSISTED  
NONCOPLANAR ORBITAL TRANSFER PROBLEM**

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**ABSTRACT:** The fuel-optimal control problem arising in noncoplanar orbital transfer employing aeroassist technology is addressed. The maneuver involves the transfer from high Earth orbit to low Earth orbit with plane change. A performance index is chosen to minimize the total fuel consumption for the transfer. Use of Pontryagin minimum principle leads us to a nonlinear, two-point, boundary value problem, which is solved by using a multiple shooting method.

## NOMENCLATURE

$A$  : constant =  $S\rho_s/2m$   
 $A_1$  : constant =  $C_{d0} S\rho_s H_a/2m$   
 $A_2$  : constant =  $C_{LR} S\rho_s H_a/2m$   
 $b$  : ratio of  $R_a/H_a$   
 $C_D$  : drag coefficient  
 $C_{D0}$  : zero-lift drag coefficient  
 $C_L$  : lift coefficient  
 $C_{LR}$  : lift coefficient for maximum lift-to-drag ratio  
 $c$  : ratio of  $C_L/C_{LR}$   
 $c$  : subscript for circularization or reorbit  
 $D$  : drag force  
 $d$  : subscript for deorbit  
 $E_m$  : maximum of  $(L/D)$   
 $g$  : gravitational acceleration  
 $g_s$  : gravitational acceleration at surface level  
 $H$  : altitude  
 $\mathcal{H}$  : Hamiltonian  
 $J$  : performance index  
 $K$  : induced drag factor  
 $L$  : lift force  
 $m$  : vehicle mass  
 $R$  : distance from vehicle center of gravity to Earth center  
 $R_a$  : radius of the atmospheric boundary  
 $R_c$  : radius of the low Earth orbit  
 $R_d$  : radius of the high Earth orbit  
 $R_E$  : radius of Earth  
 $R_s$  : distance from vehicle center of gravity to surface level  
 $S$  : aerodynamic reference area

$s$  : subscript for surface level  
 $t$  : time  
 $V$  : velocity  
 $v$  : normalized velocity  
 $\beta$  : inverse atmospheric scale height  
 $\gamma$  : flight path angle  
 $\psi$  : heading angle  
 $\sigma$  : bank angle  
 $\theta$  : down range angle or longitude  
 $\phi$  : cross range angle or latitude  
 $\delta$  : normalized density  
 $\lambda$  : costate (Langrange) variable  
 $\mu$  : gravitational constant of Earth  
 $\rho$  : density  
 $\tau$  : normalized time  
 $\Delta V$  : characteristic velocity  
 $\Delta v$  : normalized characteristic velocity

## 1. INTRODUCTION

In space transportation system, the concept of aeroassisted orbital transfer opens new mission opportunities, especially with regard to the initiation of a permanant space station [1]. The use of aeroassisted maneuvers to affect a transfer from high Earth orbit (HEO) to low Earth orbit (LEO) has been reccommended to provide high performance leverage to future space transportation systems. The space-based, orbit transfer vehicle (OTV) is planned as a system for transporting payloads between LEO and other locations in space. The OTV, on its return journey from HEO, dissipates orbital energy through atmospheric drag to slow down to LEO velocity. In a synergetic maneuver for aeroassited, orbital transfer vehicles (AOTV's), the basic idea is to employ a hybrid combination of propulsive maneuvers in space and aerodynamic maneuvers in sensible atmosphere. Within the atmosphere, the trajectory control is achieved by means of lift and bank angle modulations. Hence, this type of flight with a combination of propulsive and nonpropulsive maneuvers, is also called synergetic maneuver or space flight[2-7].

In this report, we address the fuel-optimal control problem arising in noncoplanar orbital transfer employing aeroassist technology. The maneuver involves the transfer from HEO to LEO with a presribed plane change and at the same time minimization of the fuel consumption. It is known that the change in velocity, also called the characteristic velocity, is a convenient parameter to measure the fuel consumption. For minimum-fuel maneuver, the objective is then to minimize the total characteristic velocity for deorbit, boost, and reorbit (or circularization). Use of Pontryagin minimum principle leads us to

a nonlinear, two-point, boundary value problem (TPBVP), which is solved by using a multiple shooting method [10-12].

## 2. BASIC EQUATIONS

The basic equations to be used are those for deorbit, aeroassist (or atmospheric flight), boost and reorbit (or circularization).

### (i) Deorbit

Initially, the spacecraft is in circular orbit of radius  $R_d$ , well outside the Earth's atmosphere, moving with a circular velocity  $V_d = \sqrt{\mu/R_d}$ . Deorbit is accomplished by means of an impulse  $\Delta V_d$ , to transfer the vehicle from a circular orbit to elliptic orbit with perigee low enough to intersect the dense part of the atmosphere. Since the elliptic velocity at D is less than the circular velocity at D, the impulse  $\Delta V_d$  is executed so as to oppose the circular velocity  $V_d$ . In other words, at point D, the velocity required to put the vehicle into elliptic orbit is less than the velocity required to maintain it in circular orbit. The deorbit impulse  $\Delta V_d$  causes the vehicle to enter the atmosphere at radius  $R_a$  with a velocity  $V_e$  and flight path angle  $\gamma_e$ . It is known that the optimal-energy loss maneuver from the circular orbit is simply the Hohmann transfer and the impulse is parallel and opposite to the instantaneous velocity vector.

Using the principle of conservation of energy and angular momentum at the deorbit point D, and the atmospheric entry point E, we get [8],

$$V_e^2/2 - \mu/R_a = (V_d - \Delta V_d)^2/2 - \mu/R_d \quad (1)$$

$$R_a V_e \cos(-\gamma_e) = R_d (V_d - \Delta V_d) \quad (2)$$

from which solving for  $\Delta V_d$ , we get

$$\Delta V_d = \sqrt{\mu/R_d} - \sqrt{2\mu(1/R_a - 1/R_d)/[(R_d/R_a)^2/\cos^2\gamma_e - 1]} \quad (3)$$

It is easily seen that the minimum value of the deorbit impulse  $\Delta V_{dm}$  obtained at  $\gamma_e = 0$ , corresponds to an ideal transfer with the space vehicle grazing the atmospheric boundary. To ensure proper atmospheric entry, deorbit impulse  $\Delta V_d$  must be higher than the minimum deorbit impulse  $\Delta V_{dm}$  which is given by

$$\Delta V_{dm} = \sqrt{\mu/R_d} - \sqrt{2\mu(1/R_a - 1/R_d)/[(R_d/R_a)^2 - 1]} \quad (4)$$

### (ii) Aeroassist

During the aeroassist (or atmospheric flight), the vehicle needs to be controlled by lift and bank angle to achieve the necessary velocity reduction (due to atmospheric drag) and the plane change.

Consider a vehicle with constant point mass  $m$ , moving about a nonrotating spherical planet. The atmosphere surrounding the planet is assumed to be at rest, and the central gravitational field obeys the usual inverse square law. The equations of motion for the vehicle are given by (Figure 1),

$$\frac{dH}{dt} = V \sin \gamma \quad (5a)$$

$$\frac{dV}{dt} = -AC_D V^2 \exp(-H\beta) - (\mu/R^2) \sin \gamma \quad (5b)$$

$$\frac{d\gamma}{dt} = AC_L V \cos \sigma \exp(-H\beta) + [V/R - \mu/(R^2 V)] \cos \gamma \quad (5c)$$

$$\frac{d\theta}{dt} = V \cos \gamma \cos \psi / (R \cos \phi) \quad (5d)$$

$$\frac{d\phi}{dt} = (V/R) \cos \gamma \sin \psi \quad (5e)$$

$$\frac{d\psi}{dt} = AC_L V \sin \sigma \exp(-H\beta) / \cos \gamma - (V/R) \cos \gamma \cos \psi \tan \phi \quad (5f)$$

where  $A = S \rho_s / 2m$ ,  $H = R - R_s$ ,  $\rho = \rho_s \exp(-H\beta)$  and

$$C_D = C_{D0} + KC_L^2 \text{ for a drag polar.}$$

Using the normalized variables,

$$\tau = t \sqrt{R_a^3 / \mu}; \quad v = V / \sqrt{\mu / R_a} \quad (6)$$

and the dimensionless constants,

$$h = H/H_a; \quad b = R_a/H_a; \quad \delta = \rho/\rho_s = \exp(-h\beta H_a) \quad (7a)$$

$$c = C_L/C_{LR}; \quad C_{LR} = \sqrt{C_{D0}/K} \quad (7b)$$



in (5), we get the normalized form as

$$\frac{dh}{d\tau} = b v \sin \gamma \quad (8a)$$

$$\frac{dv}{d\tau} = - A_1 b (1+c^2) \delta v^2 - \frac{b^2 \sin \gamma}{(b-1+h)^2} \quad (8b)$$

$$\frac{d\gamma}{d\tau} = A_2 b c \delta v \cos \sigma + \frac{b v \cos \gamma}{(b-1+h)} - \frac{b^2 \cos \gamma}{(b-1+h)^2 v} \quad (8c)$$

$$\frac{d\theta}{d\tau} = \frac{b v \cos \gamma \cos \psi}{(b-1+h) \cos \phi} \quad (8d)$$

$$\frac{d\phi}{d\tau} = \frac{b v \cos \gamma \sin \psi}{(b-1+h)} \quad (8e)$$

$$\frac{d\psi}{d\tau} = \frac{A_2 b \delta \lambda v \sin \sigma}{\cos \gamma} - \frac{b v \cos \gamma \cos \psi \tan \phi}{(b-1+h)} \quad (8f)$$

where,  $A_1 = C_{DO} S \rho_s H_a / 2m$ ;  $A_2 = C_{LR} S \rho_s H_a / 2m$

The plane change or orbit inclination,  $i$ , is related with cross range angle  $\phi$  and heading angle  $\psi$  as [9]

$$\cos i = \cos \phi \cos \psi \quad t_e \leq t \leq t_f \quad (9)$$

The orbit inclination changes through out the atmosphere segment and must have the required value at exit. For small values of cross range angle  $\phi$ ,  $i$  is given by the heading angle  $\psi$  itself.

### (iii) Boost and Reorbit

During the atmospheric flight, the vehicle undergoes the plane change due to modulation in lift and bank angle. Because of the loss of energy during a turn, a second impulse is required to boost the vehicle back to orbital altitude.

The vehicle exits the atmosphere at point F, with a velocity  $V_f$  and flight path angle  $\gamma_f$ . The additional impulse  $\Delta V_b$ , required at the exit point F for boosting into an elliptic orbit with apogee radius  $R_c$  and the reorbit (or circularization) impulse  $\Delta V_c$  required to insert the vehicle into a circular orbit, are obtained by using the principle of conservation of energy and angular momentum at the exit point F, and the reorbit or circularization point C. Thus, we have,

$$(V_f + \Delta V_b)^2/2 - \mu/R_a = (V_c - \Delta V_c)^2/2 - \mu/R_c \quad (10)$$

$$(V_f + \Delta V_b)R_a \cos \gamma_f = R_c (V_c - \Delta V_c) \quad (11)$$

Solving for  $\Delta V_b$  and  $\Delta V_c$  from the above equations (10) and (11),

$$\Delta V_b = \sqrt{2\mu(1/R_a - 1/R_c)/[1 - (R_a/R_c)^2 \cos^2 \gamma_f]} - V_f \quad (12)$$

$$\Delta V_c = \sqrt{\mu/R_c} - \sqrt{2\mu(1/R_a - 1/R_c)/[(R_c/R_a)^2 / \cos^2 \gamma_f - 1]} \quad (13)$$

### 3. OPTIMAL CONTROL

It is known that the change in speed,  $\Delta V$ , also called the characteristic velocity, is a convenient parameter to measure the fuel consumption. For minimum-fuel maneuver, the objective is then to minimize the total characteristic velocity. A convenient performance index is the sum of the characteristic velocities for deorbit, boost, and reorbit. Thus,

$$J = \Delta V_d + \Delta V_b + \Delta V_c \quad (14)$$

Where,  $\Delta V_d$ ,  $\Delta V_b$ , and  $\Delta V_c$  are the deorbit, boost, and reorbit characteristic velocities respectively, and are related as

$$\Delta V_d = \sqrt{\mu/R_d} - (R_a/R_d)V_e \cos(-\gamma_e) \quad (15)$$

$$\Delta V_c = \sqrt{\mu/R_c} - (R_a/R_c)(V_f + \Delta V_b) \cos \gamma_f \quad (16)$$

Alternatively,  $\Delta V_d$ ,  $\Delta V_b$  and  $\Delta V_c$  are also given by (3), (12), and (13) respectively. In the normalized form, the performance index becomes,

$$J = \Delta v = \Delta v_d + \Delta v_b + \Delta v_c \quad (17)$$

where,

$$\Delta v_d = \sqrt{1/a_d} - (v_e/a_d) \cos(-\gamma_e) \quad (18)$$

$$\Delta v_c = \sqrt{1/a_c} - [(v_f + \Delta v_b)/a_c] \cos \gamma_f \quad (19)$$

$$a_d = R_d/R_a; \quad a_c = R_c/R_a; \quad \Delta v = \Delta V/\sqrt{\mu/R_a} \quad (20)$$

Let us note that for a given circular orbit, the impulses  $\Delta V_b$  and  $\Delta V_c$  are completely determined by the state variables  $V_f$  and  $\gamma_f$  at the exit conditions of the atmospheric portion of the trajectory. The velocity  $V_e$  and the flight path angle  $\gamma_e$  at the entry point are dependent only on the magnitude of the deorbit impulse  $\Delta V_d$ . Therefore, the optimal control problem needs to consider the segment of the trajectory within the atmosphere.

The first step in the optimization procedure using Pontryagin principle is to formulate Hamiltonian as [9]

$$\begin{aligned} \mathcal{H} = & \lambda_h b v \sin \gamma + \lambda_v \left\{ -A_1 b (1+c^2) \delta v^2 - \frac{b^2 \sin \gamma}{(b-1+h)^2} \right\} \\ & + \lambda_\gamma \left\{ A_2 b c \delta v \cos \sigma + \frac{b v \cos \gamma}{(b-1+h)} - \frac{b^2 \cos \gamma}{(b-1+h)^2 v} \right\} \\ & + \lambda_\phi \left\{ \frac{b v \cos \gamma \sin \psi}{(b-1+h)} \right\} \\ & + \lambda_\psi \left\{ \frac{A_2 b \delta \lambda v \sin \sigma}{\cos \gamma} - \frac{b v \cos \gamma \cos \psi \tan \phi}{(b-1+h)} \right\} \end{aligned} \quad (21)$$

where  $\lambda$ 's are the costates corresponding to the states. The down range angle  $\theta$  does not enter the right hand side of the equations of motion (5) and hence need not be considered for the optimization process.

The optimal control equations for lift and bank angle are given by

$$\frac{\partial \mathcal{H}}{\partial c} = 0; \quad \frac{\partial \mathcal{H}}{\partial \sigma} = 0 \quad (22)$$

leading to

$$c = C_{LR} \omega / C_{D0} 2v\lambda_v; \quad \tan \sigma = \lambda_\psi / \lambda_\gamma \cos \gamma \quad (23)$$

where

$$\omega = \sqrt{\lambda_\gamma^2 + (\lambda_\psi / \cos \gamma)^2} \quad (24)$$

Realistically, the control  $C_L$  is bounded by the aerodynamic characteristics of the vehicle. Thus, for the constrained control,

$$|C_L| \leq C_{L\max} \quad \text{or} \quad |c| \leq c_{\max} \quad (25)$$

The costate (adjoint) equations are given by

$$\frac{d\lambda_h}{d\tau} = -\frac{\partial \mathcal{H}}{\partial h}; \quad \frac{d\lambda_v}{d\tau} = -\frac{\partial \mathcal{H}}{\partial v}; \quad \frac{d\lambda_\gamma}{d\tau} = -\frac{\partial \mathcal{H}}{\partial \gamma} \quad (26a)$$

$$\frac{d\lambda_\phi}{d\tau} = -\frac{d\mathcal{H}}{d\phi}; \quad \frac{d\lambda_\psi}{d\tau} = \frac{d\mathcal{H}}{d\psi} \quad (26b)$$

#### Boundary Conditions

The initial and final boundary conditions are given for the normalized altitude  $h$  as

$$h(\tau=0) = 1.0, \quad h(\tau=\tau_f) = 1.0 \quad (27a)$$

and for the normalized velocity  $v$ , and the flight path angle  $\gamma$  as

$$(2-v_e^2)a_d^2 - 2a_d - v_e^2 \cos^2 \gamma_e = 0 \quad (27b)$$

$$[2-(v_f+\Delta v_b)^2]a_c^2 - 2a_c - (v_f+\Delta v_b)^2 \cos^2 \gamma_f = 0 \quad (27c)$$

The above relations are obtained using the principle of energy conservation and angular momentum conservation to the HEO-to-entry elliptic transfer orbit and the exit-to-LEO elliptic transfer orbit, respectively. The remaining boundary conditions are obtained from the transversality conditions on the costates. Thus, the optimization procedure, requiring the solution of the state equations (8) and the costate equations (26) along with the boundary conditions (27) leads us to the formulation of a nonlinear TPBVP, which is solved by using a multiple shooting method [10-12].

#### 4. NUMERICAL DATA AND RESULTS

A typical set of numerical values used for simulation purposes is given below [3-6].

$$\begin{aligned} C_{D0} &= 0.1; \quad K = 1.111; \quad m/S = 300 \text{ kg/m}^2 \\ \rho_s &= 1.225 \text{ kg/m}^3; \quad \mu = 3.986 \times 10^{14} \text{ m}^3/\text{sec}^2 \\ \beta &= 1/6900 \text{ m}^{-1}; \quad R_E = 6378 \text{ KM} \\ H_a &= 120 \text{ KM}; \quad R_d = 12996 \text{ KM}; \quad R_c = 6558 \text{ KM} \end{aligned}$$

Using the above mentioned data, simulations are carried out. The optimal solution has the following entry and exit status.

Entry status:  $H_e = 120$  KM;  $V_e = 9034.74$  m/sec  
 $\gamma_e = -4.435$  degrees;  $\phi_e = 0$ ;  $\psi_e = 0$   
Exit status:  $H_f = 120$  KM;  $V_f = 7009.29$  m/sec  
 $\gamma_f = -0.6217$  deg;  $\phi_f = 7.237$  deg  
 $\psi_f = 18.467$  deg; total flight time = 500 sec

Characteristic velocities:

Deorbit characteristic velocity,  $\Delta V_d = 1034.29$  m/sec  
Boost characteristic velocity,  $\Delta V_b = 816.00$  m/sec  
Reorbit characteristic velocity,  $\Delta V_c = 42.96$  m/sec  
Total characteristic velocity  $\Delta V = 1893.25$  m/sec

Time histories of altitude  $H$ , velocity  $V$ , and flight path angle  $\gamma$ , for total flight time of 540 seconds, are shown in Figure 2. Figure 3 shows lift  $C_L$ , bank angle  $\sigma$ , and lift-to-drag ratio  $L/D$  as a function of time from atmospheric entry to exit points. Those for the heating rate, dynamic pressure and density are shown in Figure 4.

Figure 2(a) shows the time history of altitude. The spacecraft enters and exits the atmosphere at an altitude of 120 KM. The minimum altitude reached is 46 KM. The velocity versus time is shown in Figure 2(b). The vehicle enters the atmosphere with a velocity of 9034.74 m/sec and leaves the atmosphere with a speed of 7009.29 m/sec, thus giving a velocity reduction of 2025.45 m/sec. The profile of flight path angle with time is shown in Figure 2(c). The spacecraft enters the atmosphere with an inclination of -4.435 degrees and exits with -0.6217 degrees. The control history is shown in Figure 3(a). The vehicle enters the atmosphere with maximum lift capability and decreases slowly during the remaining flight. Figure 3(b) shows the variation of bank angle during the atmospheric flight. Initially the vehicle enters the atmosphere with a bank angle of 154.75 degrees to pull the vehicle into the atmosphere but slowly drops to about 63.3 degrees and maintain at that value for the remainder of the flight.

The minimum-fuel transfer requires a total characteristic velocity of 1893.25 m/sec. It is of interest to compare this optimal aeroassisted transfer with the Hohmann transfer, which is maneuvered entirely in outer space, and has a deorbit characteristic velocity  $\Delta V_{dh}$  of 1002.39 m/sec and the reorbit characteristic velocity  $\Delta V_{ch}$  of 1192.25 m/sec, giving a total characteristic velocity for Hohmann transfer  $\Delta V_h$  of 2194.64 m/sec. This shows that still the noncoplanar transfer with a plane change of 18.467 degrees is only 84 percent of the Hohmann (coplanar) transfer.

The heating rate  $Q_r$ , along the atmospheric trajectory, is computed for a sphere of radius of 1 meter, according to the relation [6],

$$Q_r = K_r \rho^{0.5} V^{3.08} \quad (28)$$

where,  $\rho$  is the atmospheric density in  $\text{kg}/\text{km}^3$ ,  $V$  is the velocity in  $\text{km}/\text{sec}$  and  $K_r$  is the proportionality constant equal to  $3.08 \times 10^{-4}$ . Figure 4(a) shows the peak heating rate of  $251.16 \text{ W}/\text{sq. cm.}$  and the total integrated heating rate is found to be  $21.0435 \text{ KW-sec}/\text{cm}^2$ . As shown in Figure 4(b), the peak dynamic pressure is  $103 \text{ KN}/\text{sq. m.}$  The variation of density in Figure 4(c) obtained a peak value of  $1.5367 \text{ kg}/\text{m}^3$ .

### Approximate Solutions

As a first cut in getting approximate solutions, we try to obtain the optimal solutions using the fact that the flight path angle  $\gamma$  is small. Using this approximation, the simulation has been repeated. Interestingly, it is found that we get almost the identical solutions with much less computation time. For example, in this case, the deorbit characteristic velocity  $\Delta V_d$  is equal to  $1034.29 \text{ m}/\text{sec}$ , boost characteristic velocity  $\Delta V_b$  is  $816 \text{ m}/\text{sec}$  and the reorbit characteristic velocity  $\Delta V_c$  is found to be  $43.01 \text{ m}/\text{sec}$ , giving a total minimum characteristic velocity as  $1893.3 \text{ m}/\text{sec}$ . The total integrated heating rate is  $21.0435 \text{ KW-sec}/\text{cm}^2$ . The execution time on the computer is now only 41 percent of the execution time for the optimal solution without the approximation.

### 6. MULTIPLE SHOOTING METHOD

The determination of optimal control (23) requires the solution of a tenth order, nonlinear TPBVP consisting of state equations (8) and costate equations (26) and the associated boundary conditions (27). This can only be done by numerical methods. The multiple shooting method is one of the powerful methods for solving nonlinear TPBVP's. The corresponding OPTSOL code was developed by DFVLR establishment at Oberpfaffenhofen, West Germany [10-12].

In solving any boundary value problem with the given initial and final conditions, we assume additional initial data and integrate forward so that the solution satisfies the given final condition as well. This is also called a simple shooting method. Here, the convergence of the solution is highly sensitive to the assumed initial data. It is found that the error due to inaccurate initial data can be made arbitrarily small by performing the integration over sufficiently smaller subdivided panels within the given interval and thereby leading to the multiple shooting method. Thus, the multiple shooting method is a simultaneous application of the simple shooting method at several points within the interval of integration. Here, the trajectory may be restarted at intermediate points using new guesses. Jacobian matrices are formed for each segment. The resulting iteration scheme, based on

reducing all discontinuities at internal grid points to zero, leads to a system of linear algebraic equations.

Figure 5 shows the successive approximations of the altitude  $H$ , during the course of 0, 5, and 14 iterations. For the sake of clarity only 4 out of 20 intervals are shown. The initial guessed value for the altitude is 120 KM at every interval. It can be seen how the initially large jumps at the subdivision points of the multiple shooting method are "flattened out" with the increase of iterations.

## 7. CONCLUSIONS

In this report, we have addressed the minimization of fuel consumption during the atmospheric portion of an aeroassisted, noncoplanar, orbital transfer problem. The resulting two-point, boundary value problem was solved by using an efficient multiple shooting algorithm. The strategy for the atmospheric portion of the minimum-fuel transfer is to start initially with the maximum positive lift in order to recover from the downward plunge, and then to fly with a gradually decreasing lift such that the vehicle skips out of the atmosphere with a flight path angle near zero degrees. Also, initially, a bank angle greater than 90 degrees is used to pull the vehicle into the atmosphere, but is later reduced to produce the skip.

## ACKNOWLEDGEMENTS

This research work was supported by a grant from NASA Langley Research Center, Hampton, under the technical monitorship of Dr Douglas B. Price, Assistant Head, Spacecraft Control Branch.

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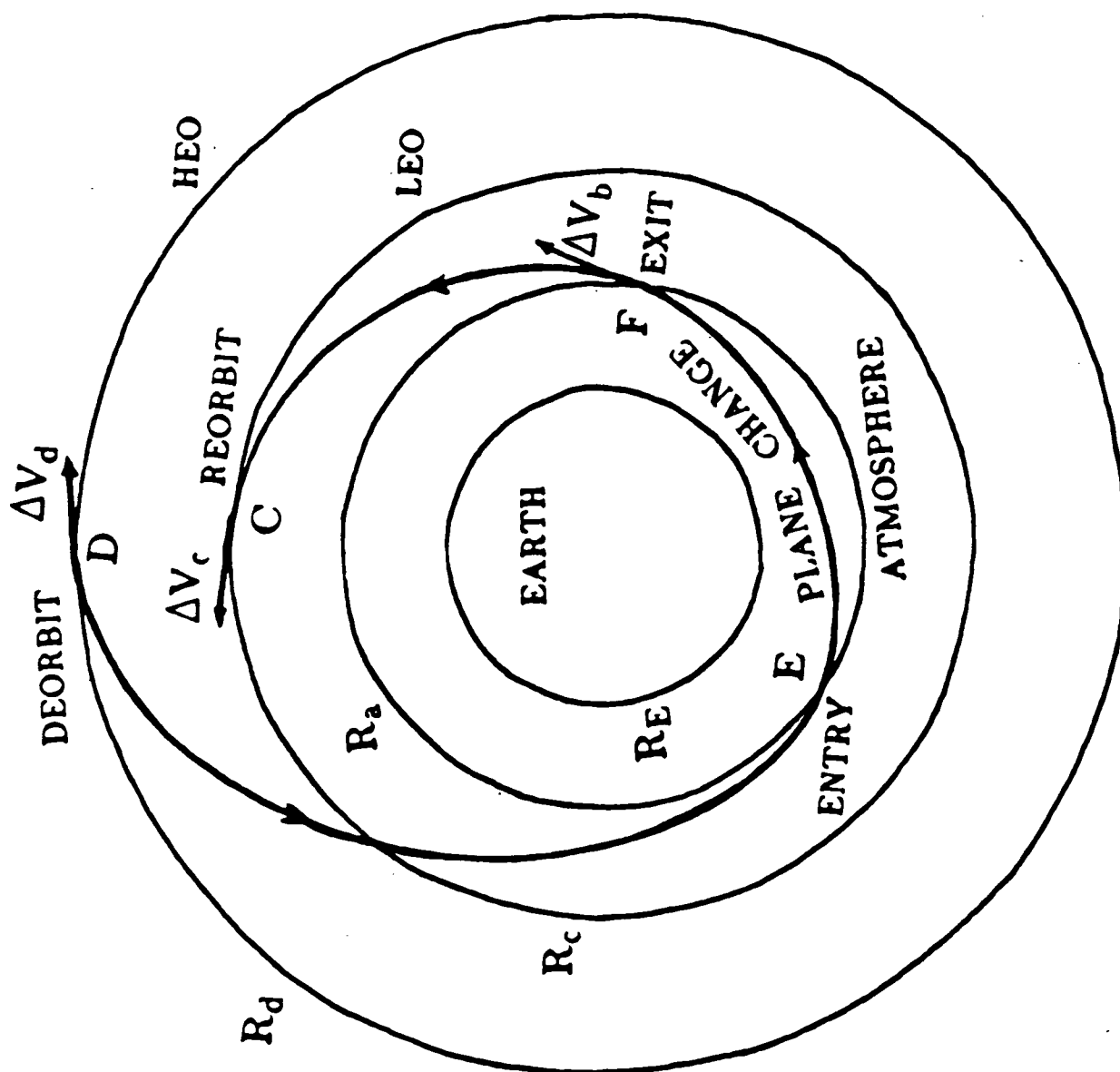


Fig. 1 Aeroassisted Orbital Plane Change

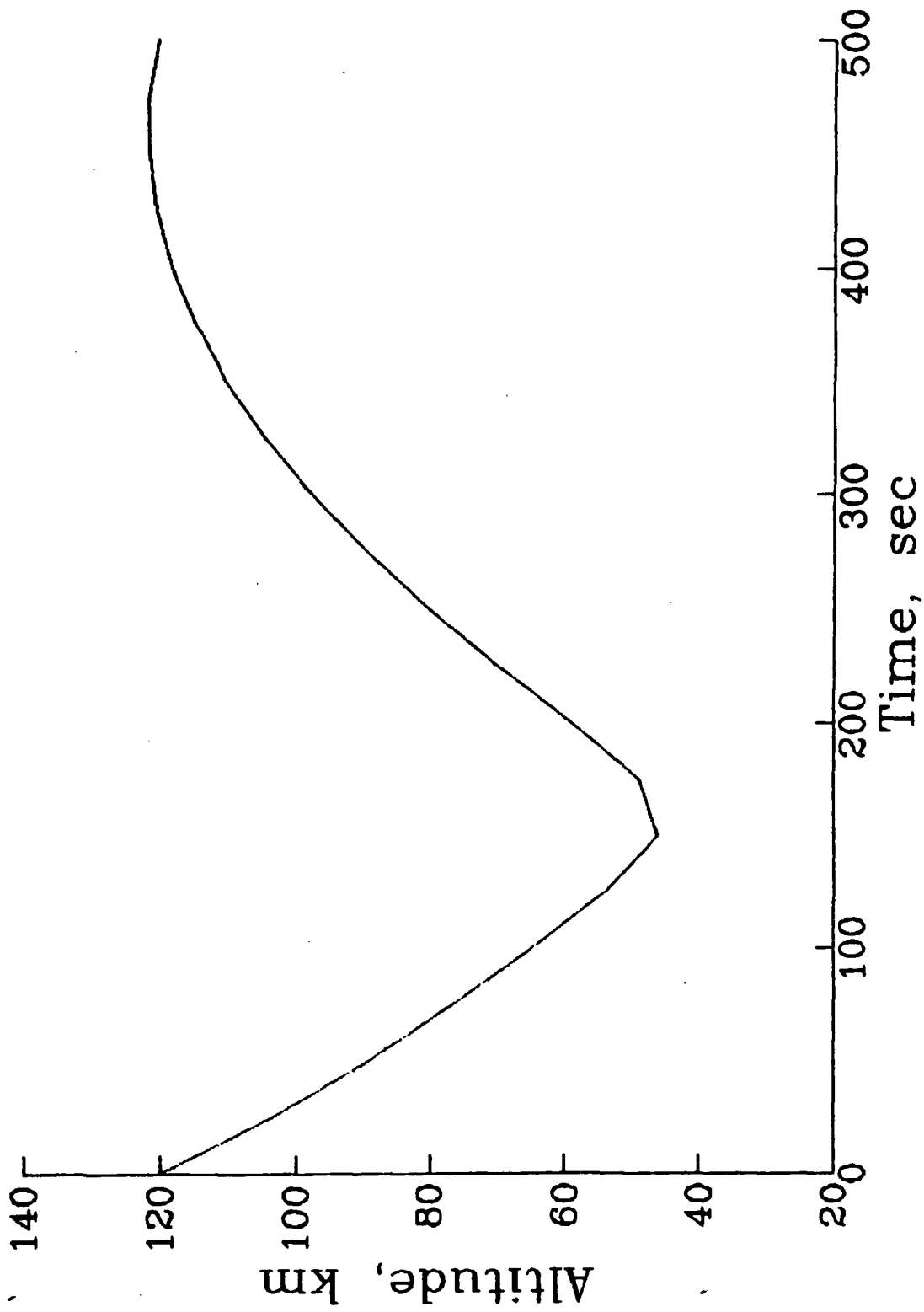


Fig.2(a) Time history of altitude

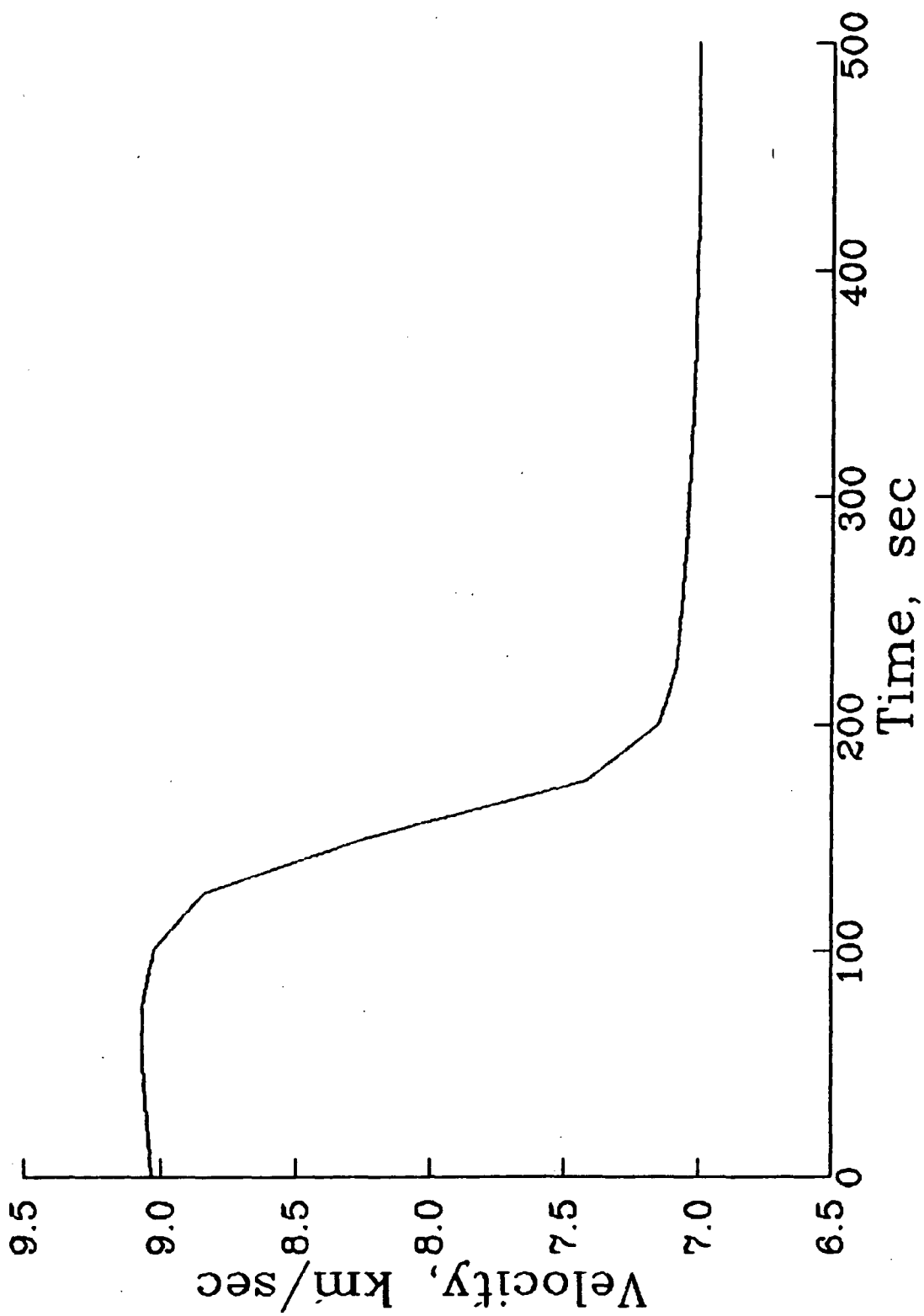


Fig.2(b) Time history of velocity

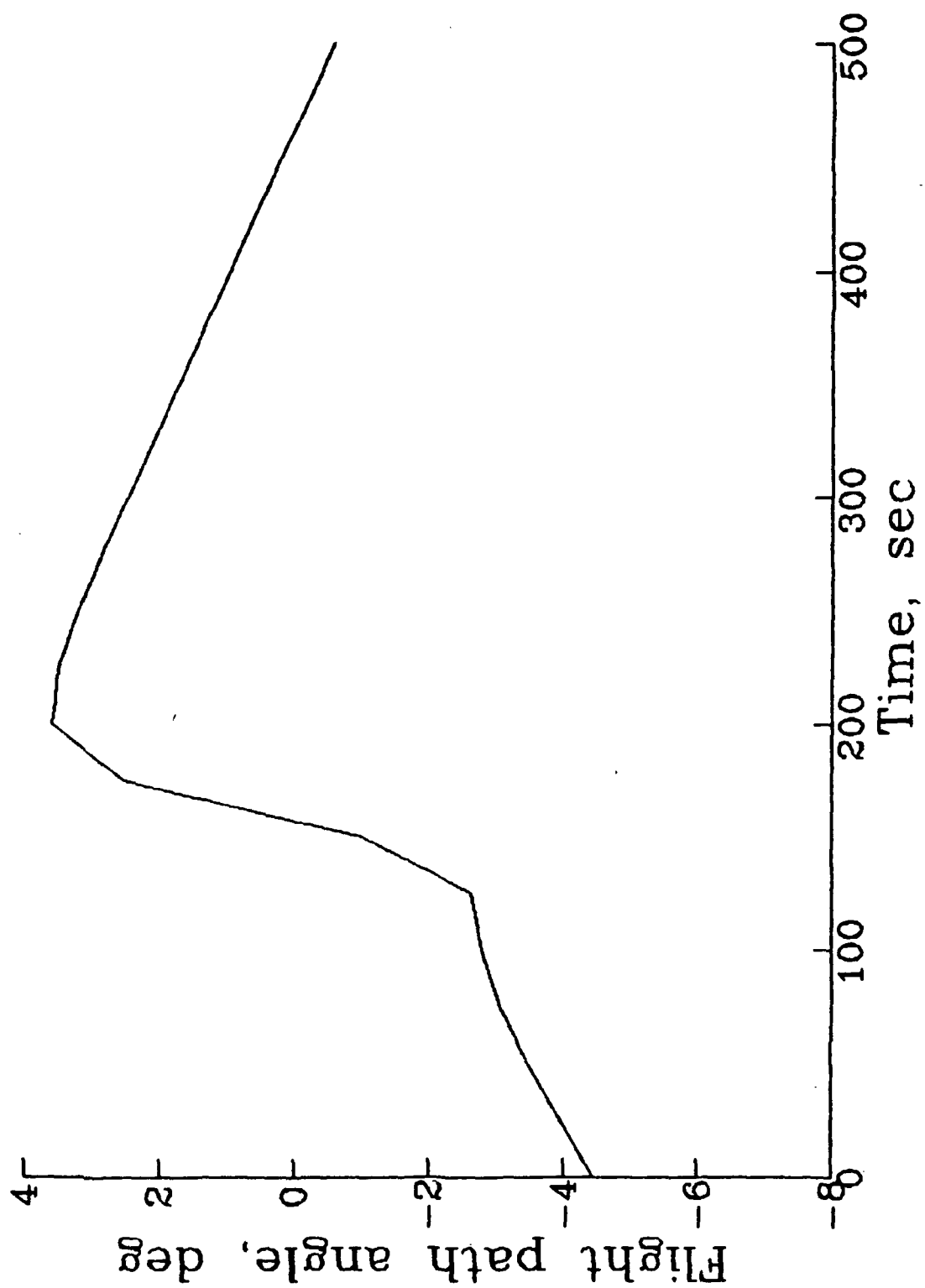


Fig.2(c) Time history of flight path angle

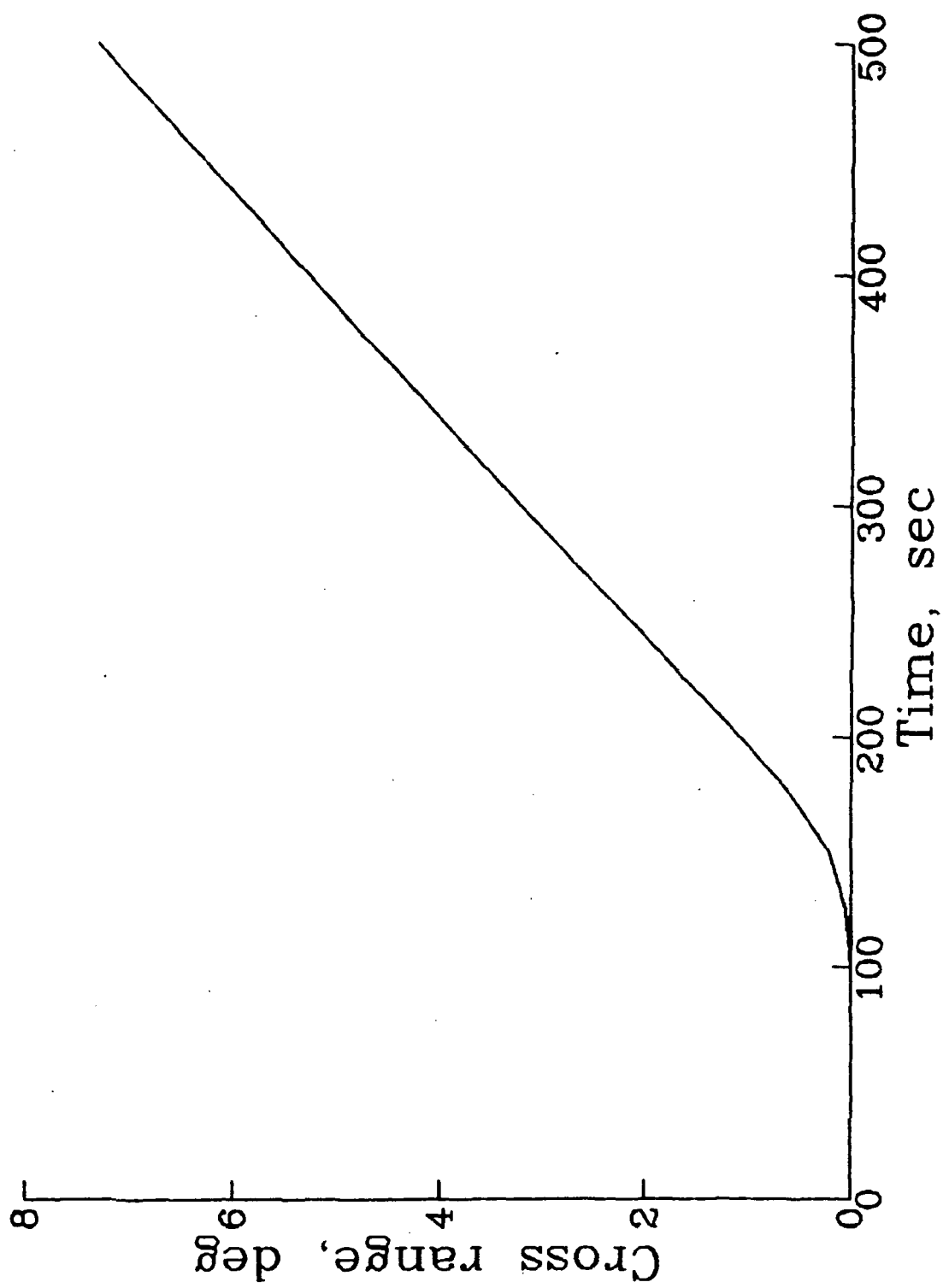


Fig.2(d) Time history of cross range

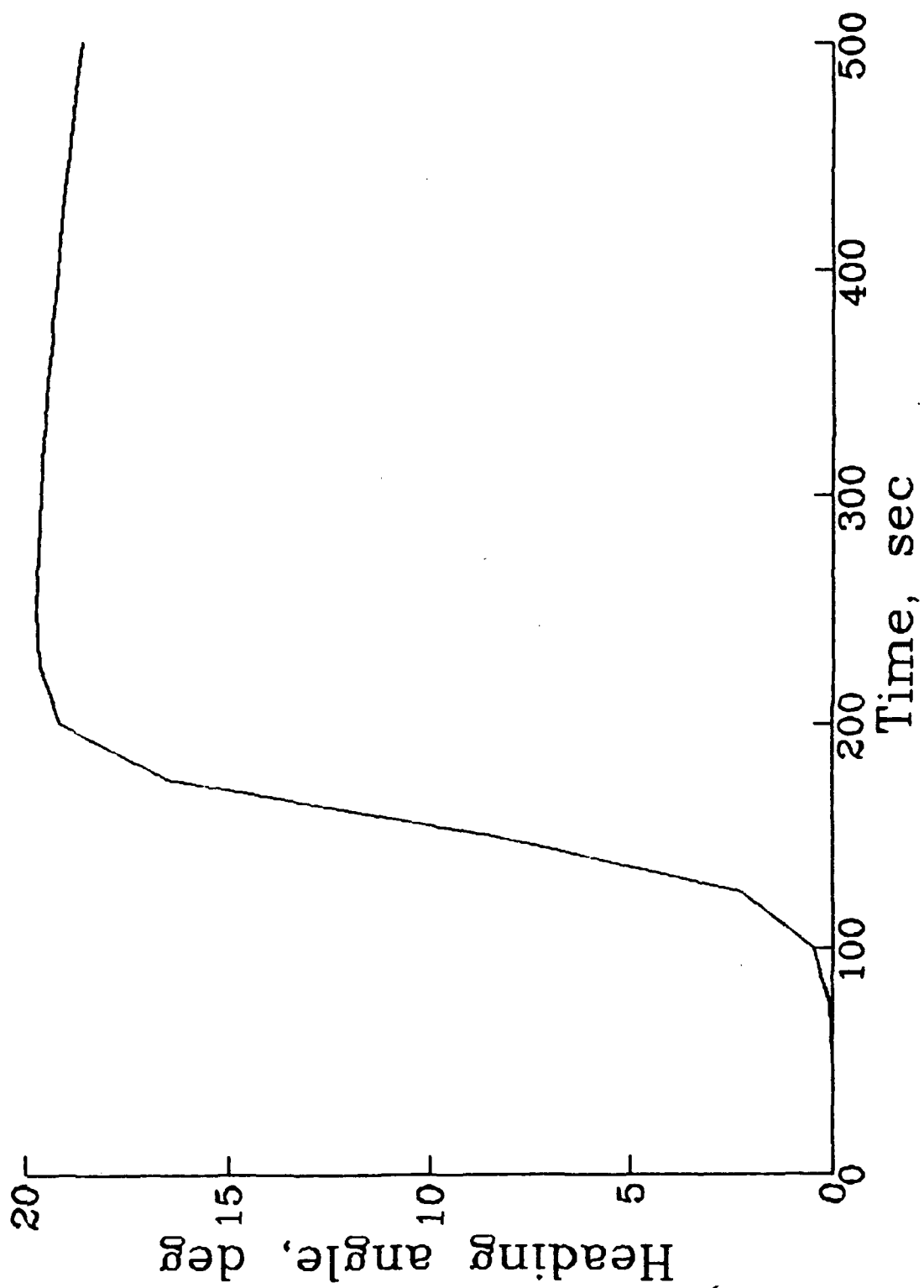


Fig.2(e) Time history of heading angle

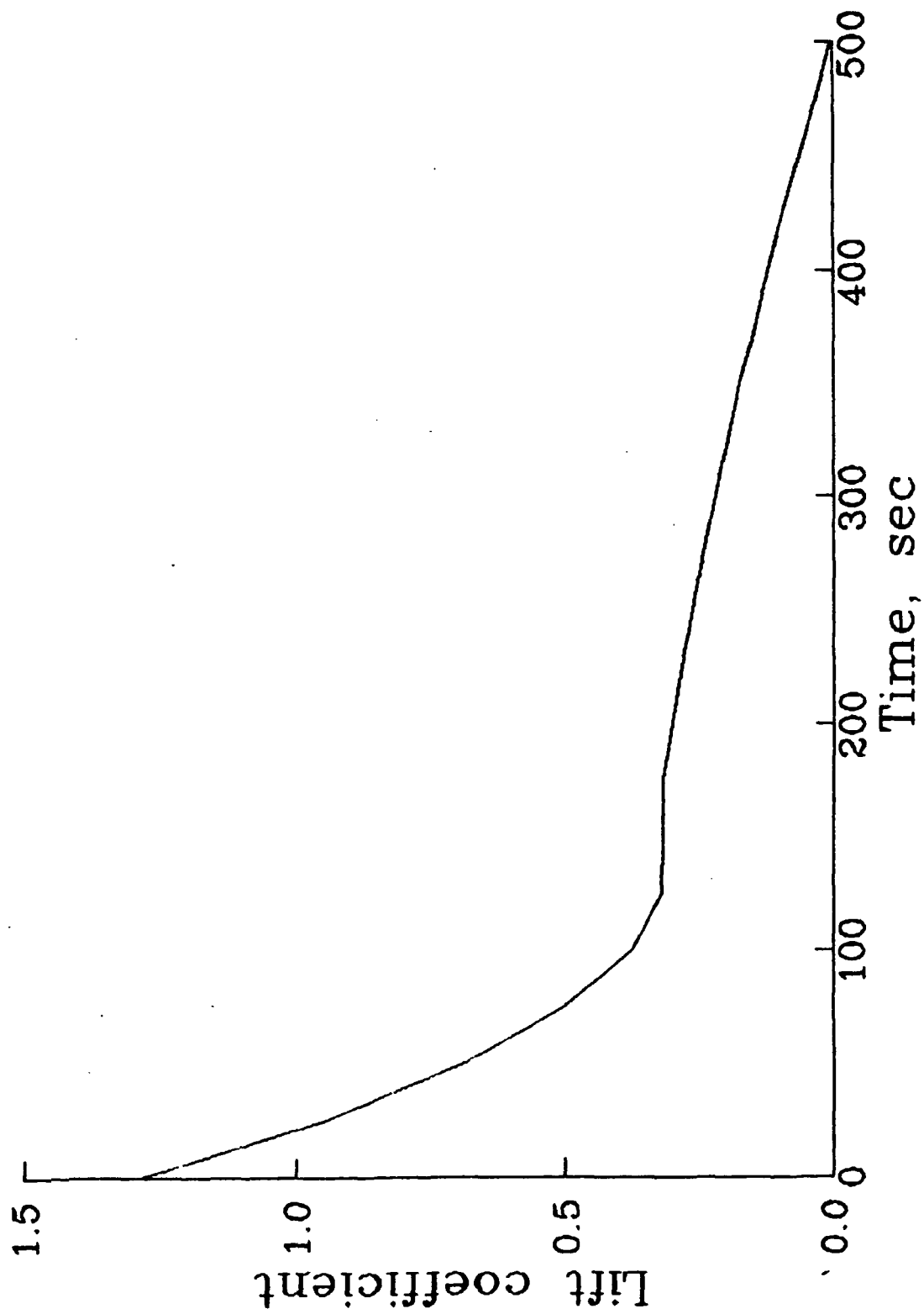


Fig.3(a) Time history of lift coefficient

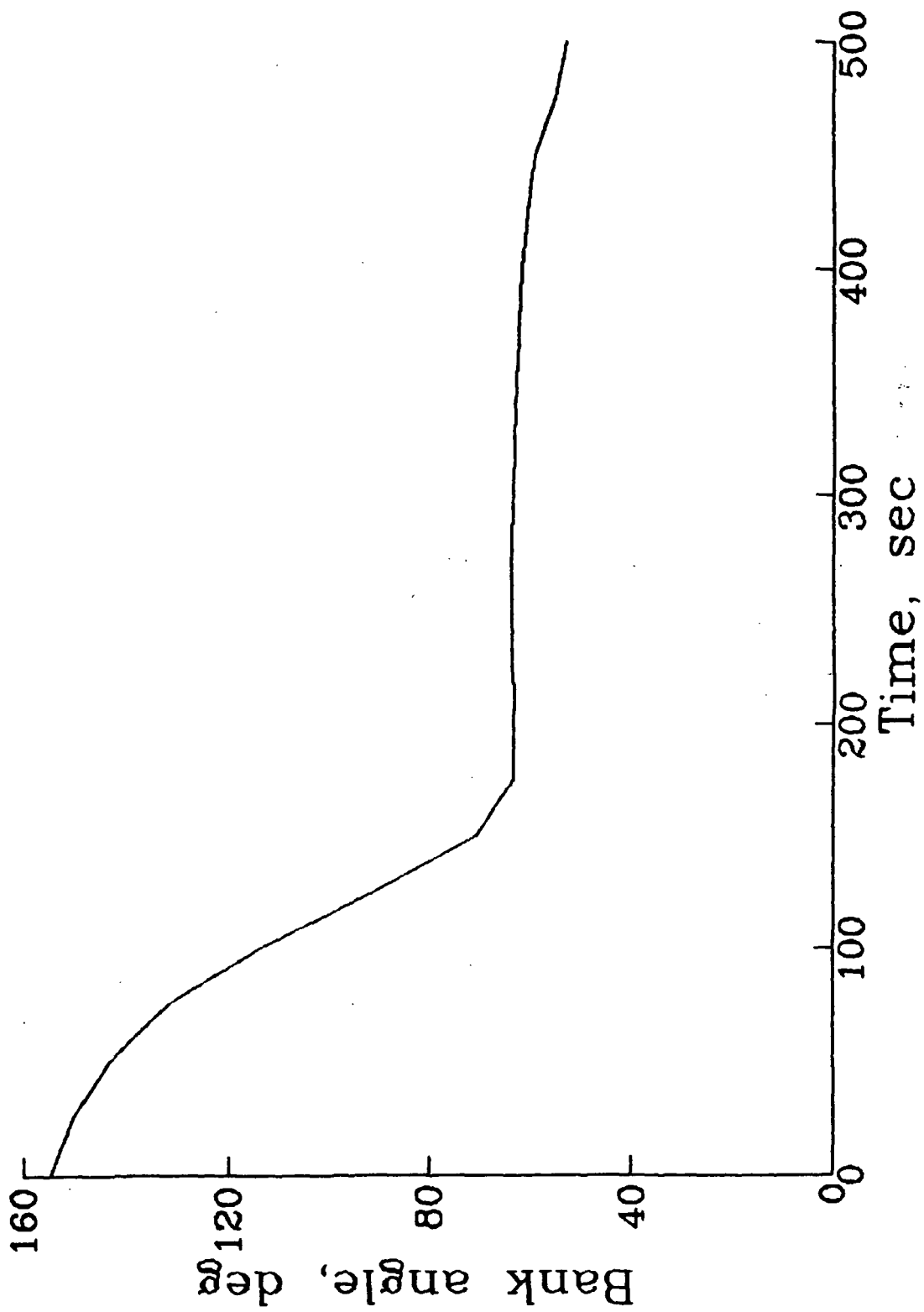


Fig.3(b) Time history of bank angle



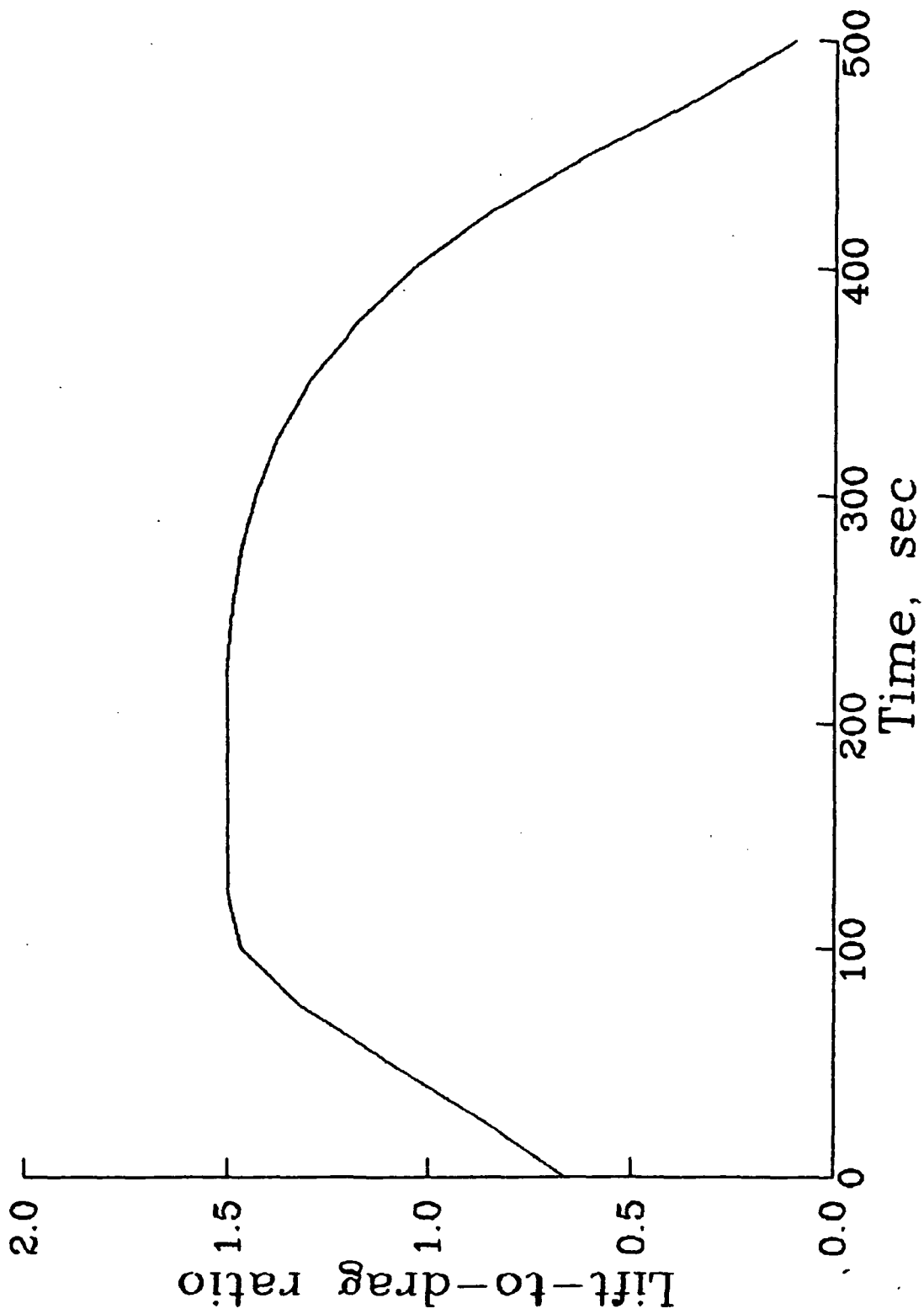


Fig.3(c) Time history of lift-to-drag ratio

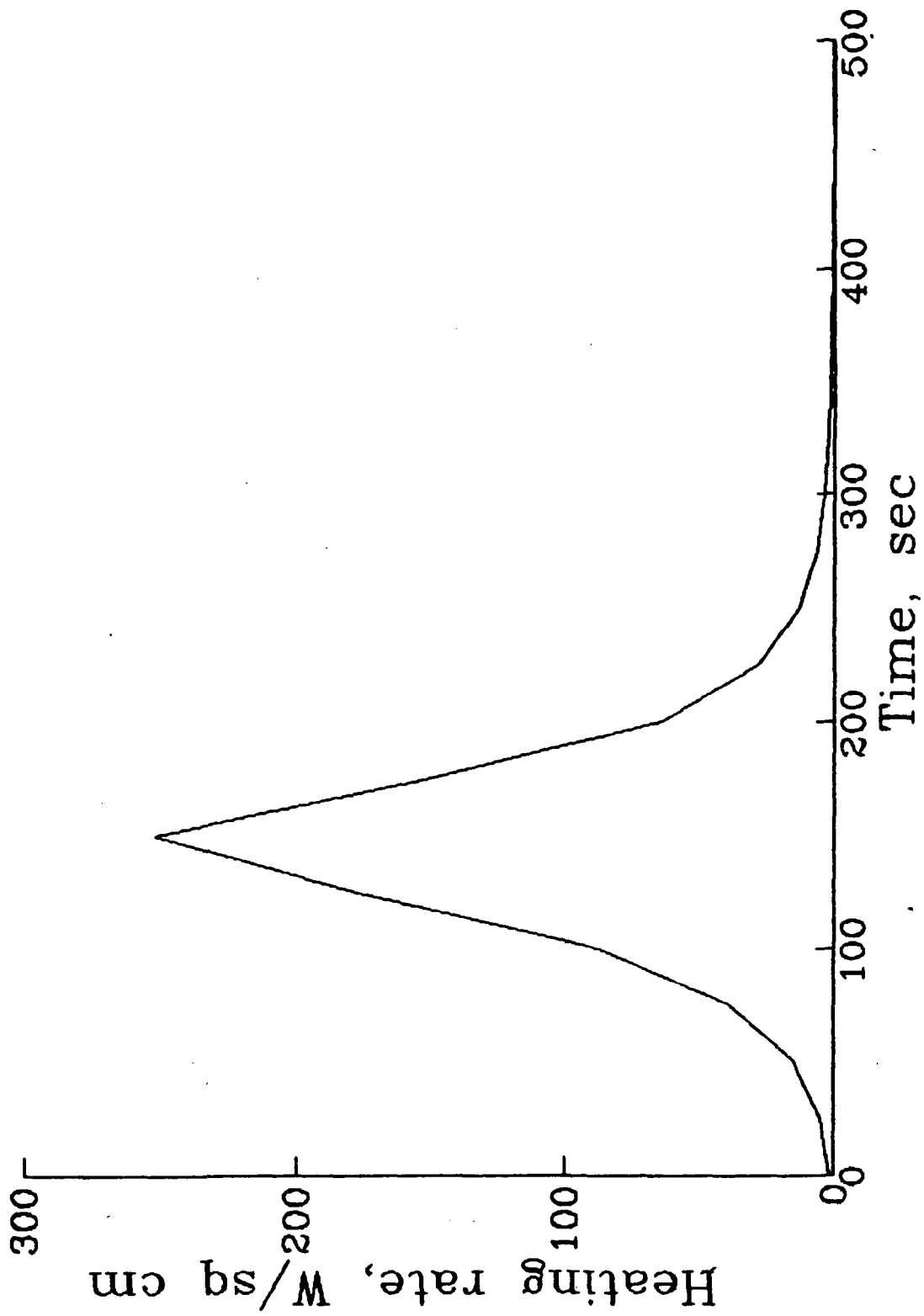


Fig.4(a) Time history of heating rate

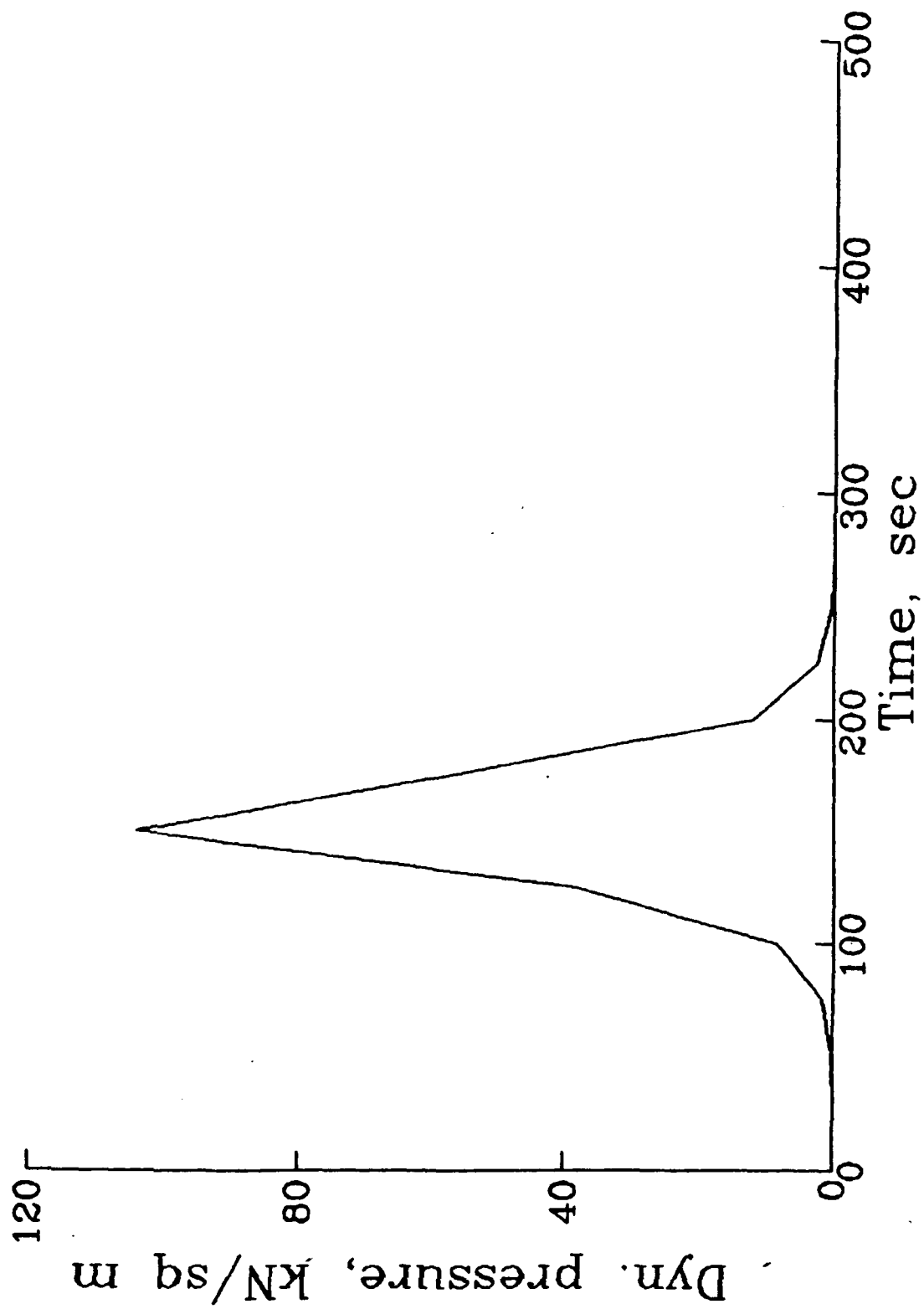


Fig.4(b) Time history of dynamic pressure

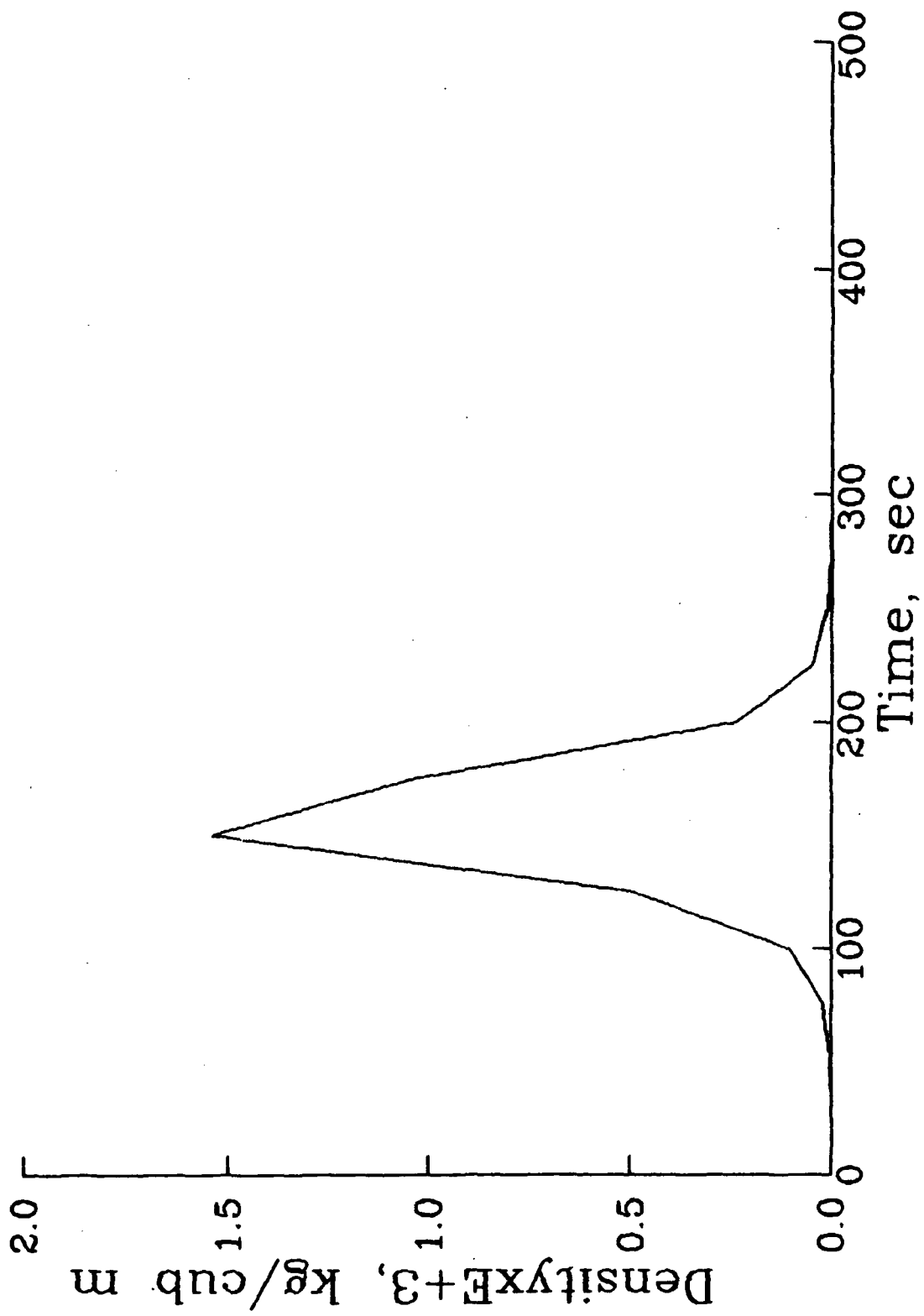


Fig.4(c) Time history of density

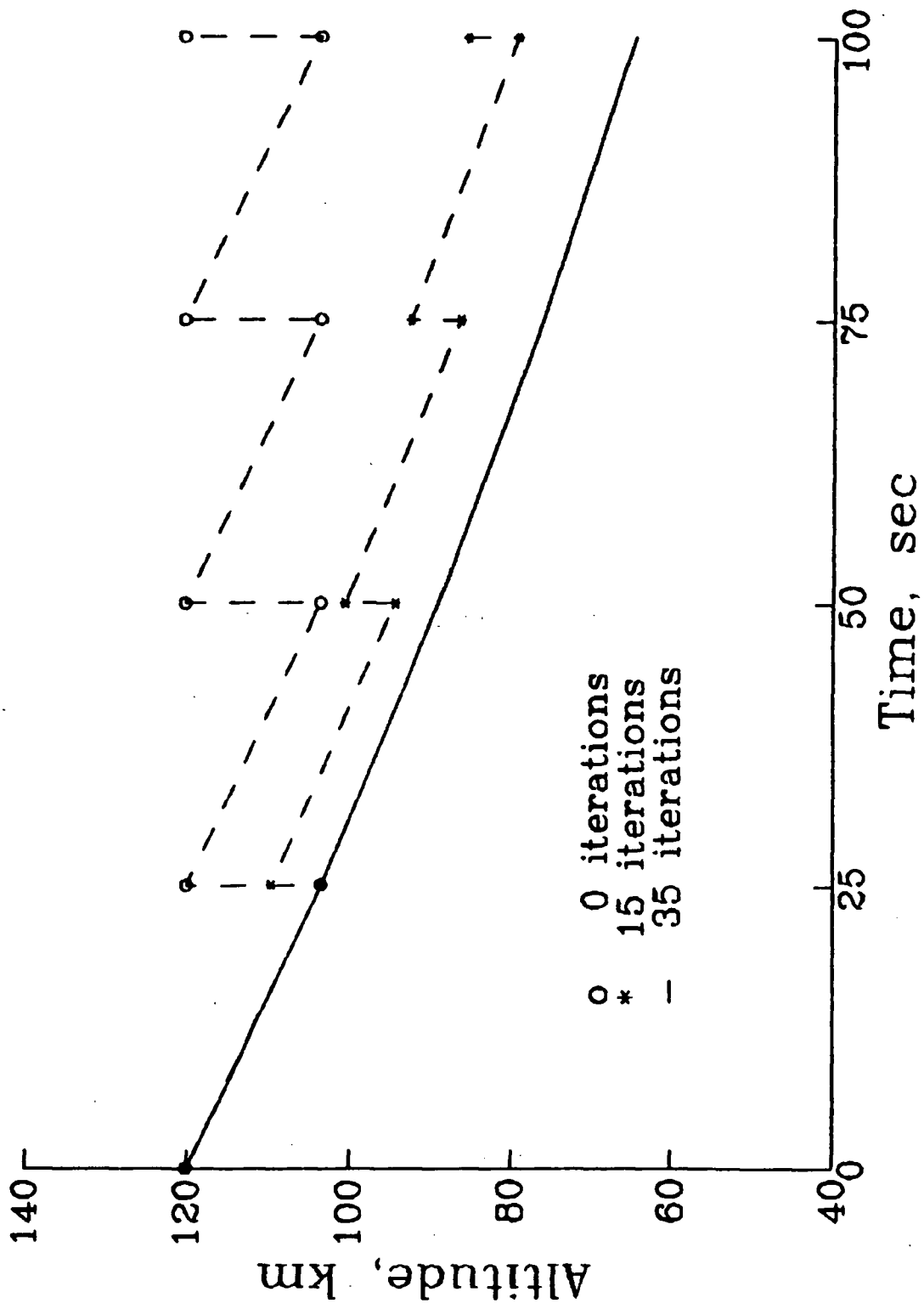


Fig.5 Successive approximations for altitude